

**TEMPLATE FOR SUBMISSION OF TEXTUAL PROPOSALS DURING THE 27<sup>TH</sup> SESSION:  
COUNCIL - PART I**

***Informal Working Group – Institutional Matters***

*Please fill out one form for each textual proposal which your delegation(s) wish(es) to amend, add or delete and send to [council2022@isa.org.jm](mailto:council2022@isa.org.jm).*

**1. Name(s) of Delegation(s) making the proposal:**

Germany

**2. Please indicate the relevant provision to which the textual proposal refers.**

Regulation 7

**3. Kindly provide the proposed amendments to the regulation in the text box below, using the “track changes” function in Microsoft Word. Please only reproduce the parts of the text that are being amended or deleted.**

1. Each application for approval of a Plan of Work shall be in the form prescribed in annex I to these Regulations, shall be addressed to the Secretary-General and shall conform to the requirements of these Regulations.
2. Each applicant, including the Enterprise, shall, as part of its application, provide a written undertaking to the Authority that it will:
  - (a) Accept as enforceable [during all stages of the process chain](#) and comply with the applicable obligations created by the provisions of Part XI of the Convention, the rules, regulations and procedures of the Authority, the decisions of the organs of the Authority and the terms of its contract with the Authority;
  - (b) Accept control by the Authority of activities in the Area [during all stages of the process chain](#), as authorized by the Convention;
  - (c) Provide the Authority with a written, [substantiated](#) assurance that its obligations under its contract will be fulfilled in good faith; and
  - (d) Comply with the national laws, regulations and administrative measures of the sponsoring State or States made pursuant to articles 139 and 153 (4) of the Convention and article 4 (4) of annex III to the Convention.

3. An application shall be prepared in accordance with these Regulations [and applicable Standards](#) and accompanied by the following, :

(a) The data and information to be provided pursuant to section 11.2 of the standard clauses for Exploration contracts, as annexed to the relevant Exploration Regulations;

[\(a bis\) A test mining study prepared in accordance with Regulation \[48bis\] Paragraph 2 or 3, as applicable, and Annex \[IVter\];](#)

(b) A Mining Workplan prepared in accordance with annex II to these Regulations;

(c) A Financing Plan prepared in accordance with annex III to these Regulations;

(d) An Environmental Impact Statement prepared in accordance with regulation 47 and in the format prescribed by annex IV to these Regulations;

(e) An Emergency Response and Contingency Plan prepared in accordance with annex V to these Regulations;

(f) A Health and Safety Plan and a Maritime Security Plan prepared in accordance with annex VI to these Regulations;

(g) A Training Plan in fulfilment of article 15 of annex III to the Convention, prepared in accordance with the Guidelines;

(h) An Environmental Management and Monitoring Plan<sub>2</sub> prepared in accordance with regulation 48 and annex VII to these Regulations<sub>2</sub> [which documents that management and monitoring are in compliance with the applicable Regional Environmental Management Plan;](#)

#### **4. Please indicate the rationale for the proposal. [150 word limit]**

The obligations applicable to applicants apply throughout all the time. The reference in Draft Regulation 7 para. 3 to “regulations” should, in our view, also explicitly mention “Standards” unless it is made clear in Draft Regulation 1 para. 5, as suggested above, that “Standards” form an integral part of the regulations.