

**WORKSHOP ON  
THE DESIGN OF “IMPACT REFERENCE ZONES” AND “PRESERVATION REFERENCE  
ZONES” IN DSM CONTRACT AREAS**

**Biogeographic remarks and spatial scales**

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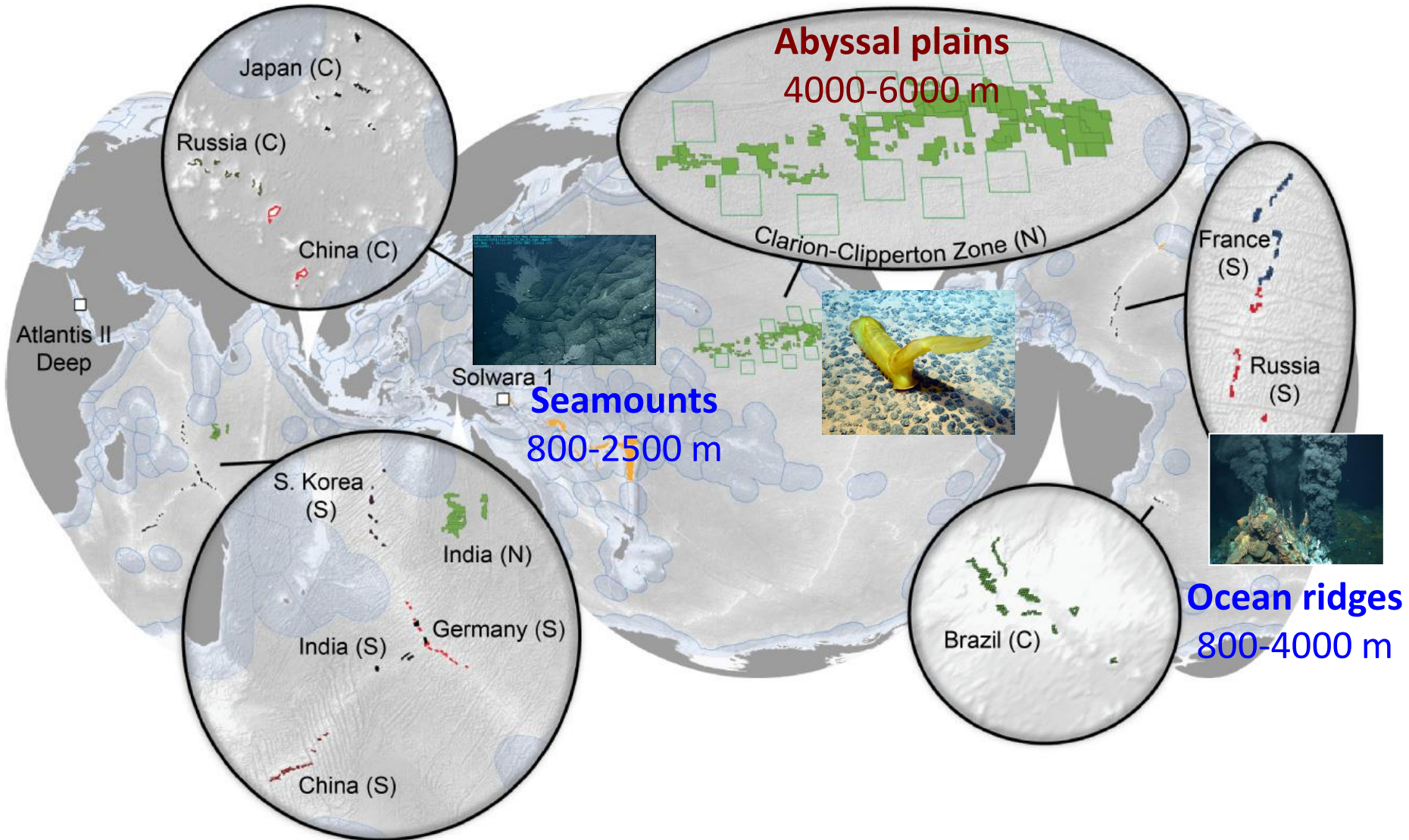
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The Hilton Berlin, 27-29 September 2017

# Locations of global exploration contracts

for **manganese nodules** (N), Co-rich Fe-Mn **crusts** (C)

and **seafloor massive sulfides** (S for contracts within "the Area", orange for contracts within EEZs)

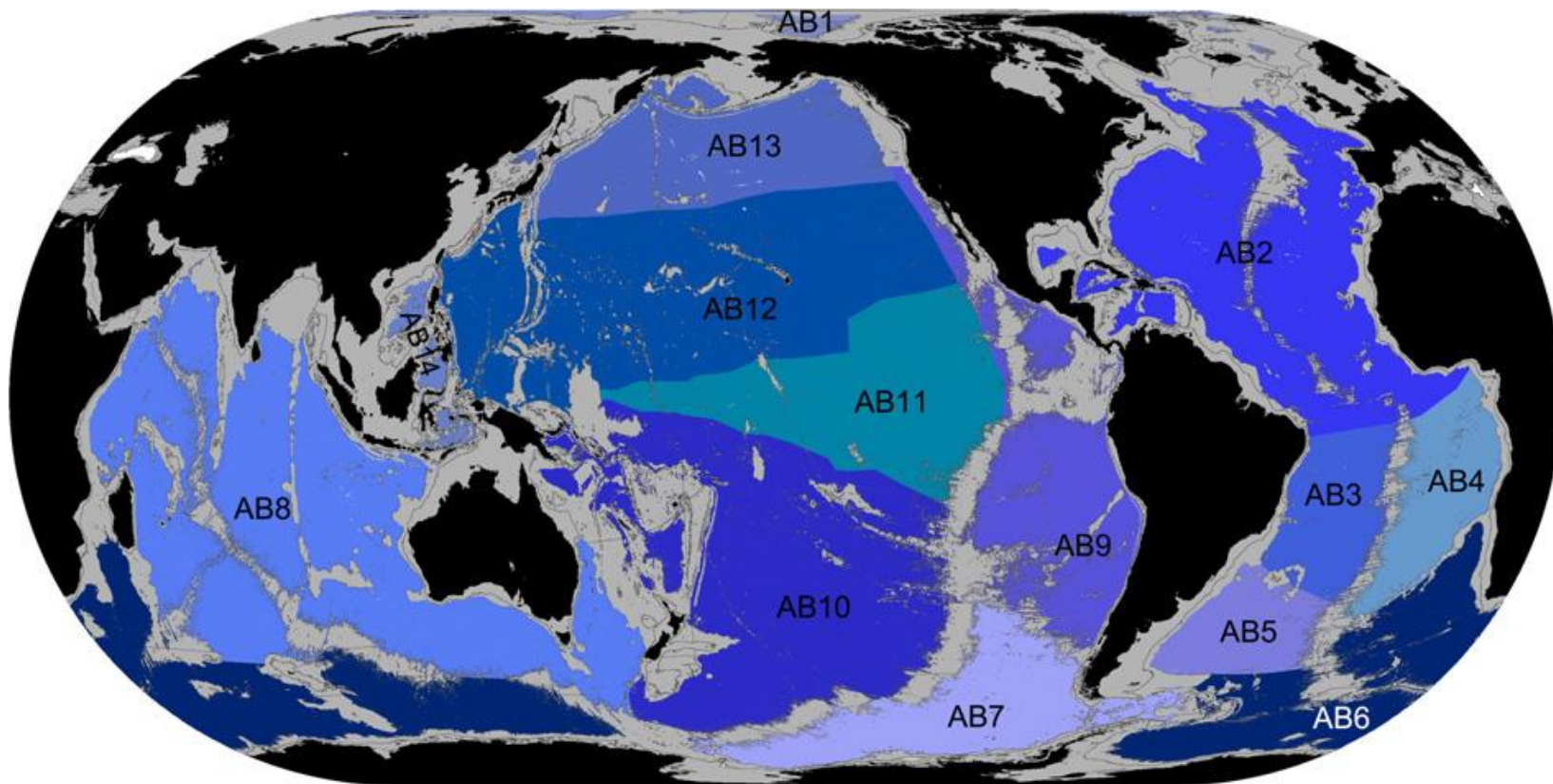


[After Petersen et al. (2016)]

# Main biogeographic concepts

<b>Approaches</b>		
<b>Based on distribution of:</b>		
<b>Species ("Biotic")</b>	<b>Communities ("Biocenosis")</b>	<b>Environmental parameters ("Landscape")</b>
<b>Criteria of boundaries</b>		
Crowding of species range limits	Differences between local biotas	Sharp gradients of environmental parameters
<b>Methods</b>		
Analysis of species ranges (Areographic analysis)	Cluster analysis	Analysis of gradients of environmental parameters

# Proposed biogeography of the deep ocean floor (Watling et al., 2013)



AB1: Arctic Basin

AB2: North Atlantic

AB3: Brazil Basin

AB4: Angola, Guinea, Sierra Leone Basins

AB5: Argentine Basin

AB6: Antarctica East

AB7: Antarctica West

AB8: Indian

AB9: Chile, Peru, Guatemala Basins

AB10: South Pacific

AB11: Equatorial Pacific

AB12: North Central Pacific

AB13: North Pacific

AB14: West Pacific Basins

## Proposed Abyssal Provinces

# Zoogeographic zonation of abyssal and hadal zones

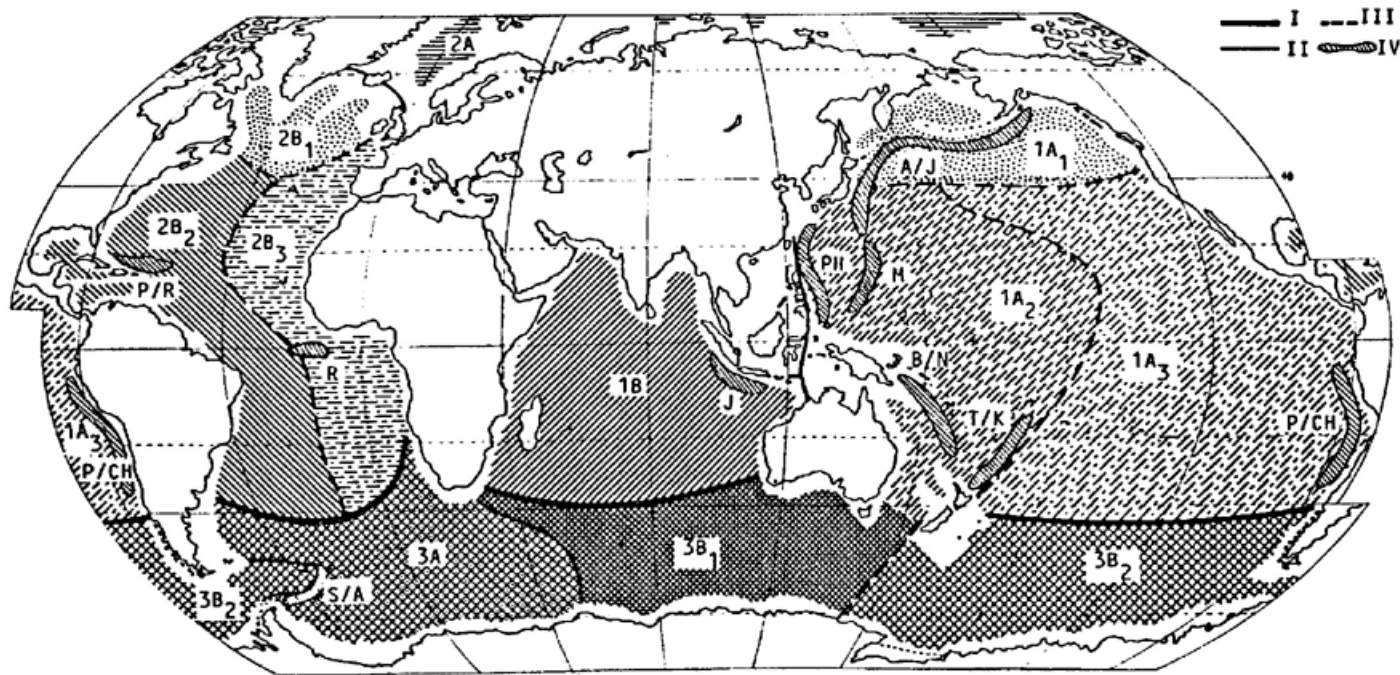
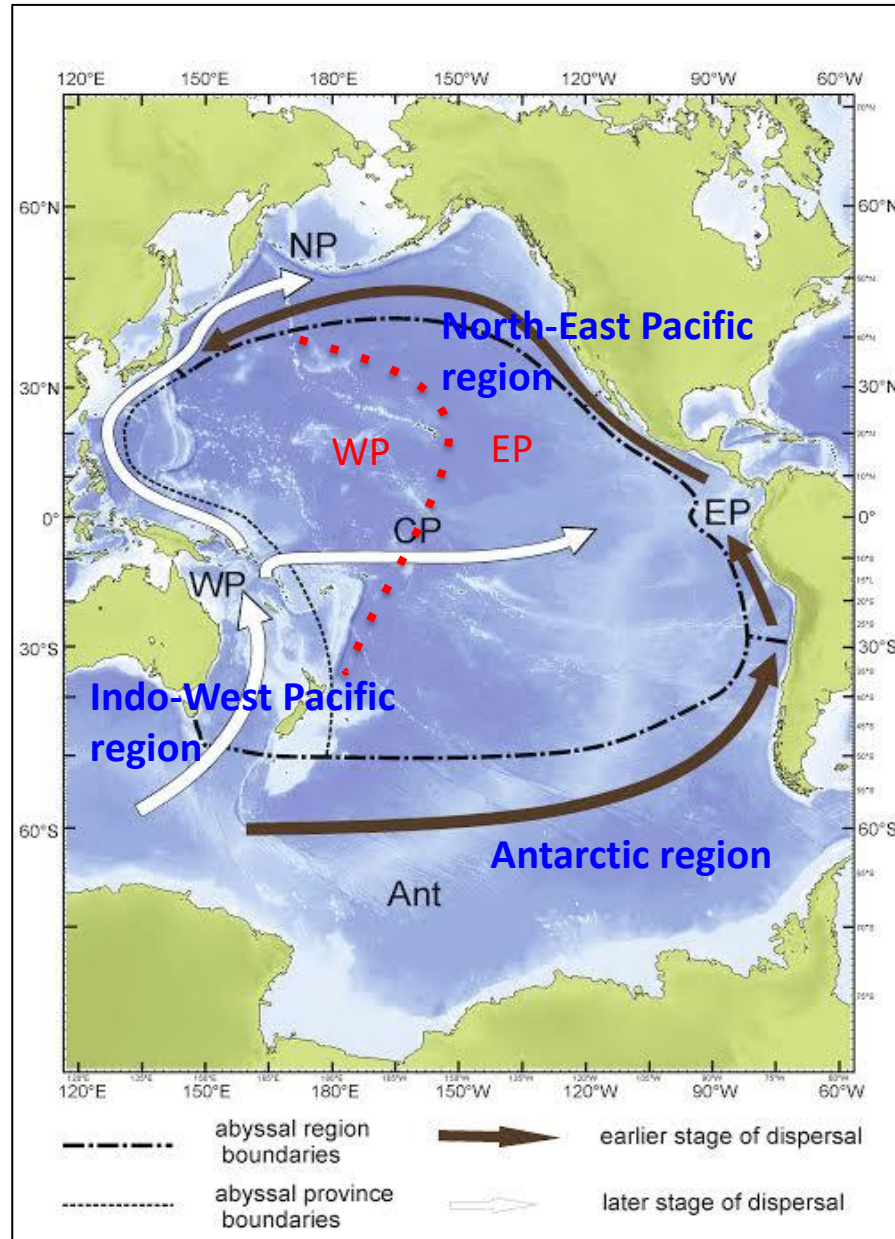


Figure 9 Zoogeographic demarcation of the abyssal and hadal zones of the ocean. Boundaries of regions (I), subregions (II), abyssal provinces (III) and hadal provinces (IV). Pacific–North-Indian deep-sea region: 1A, Pacific subregion. 1A<sub>1</sub>, North-Pacific abyssal province; 1A<sub>2</sub>, West-Pacific abyssal province; 1A<sub>3</sub>, East Pacific abyssal province; A/J Aleutian–Japan hadal province; PH, Philippine; M, Mariana; B/N, Bougainville – New Hebrides; T/K Tonga–Kermadec; P/CH, Peru–Chile hadal provinces. 1B, North-Indian subregion; J, Java hadal province. Atlantic deep-sea region: 2A, Arctic subregion; 2B, Atlantic subregion; 2B<sub>1</sub>, North Atlantic abyssal province; 2B<sub>2</sub> West Atlantic abyssal province; 2B<sub>3</sub> East-Atlantic abyssal province; P/R, Puerto-Rico hadal province; R, province of the Romanche Trench. Antarctic deep-sea region: 3A, Antarctic–Atlantic subregion; S/A, South Antilles hadal province; 3B, Antarctic–Indian-Pacific subregion: 3B<sub>1</sub>, Indian Ocean abyssal province; 3B<sub>2</sub>, Pacific abyssal province. (Zonation of the abyssal after Vinogradova, 1959a; hadal after Belyaev, 1974).

# Biogeographic regionalization of the abyssal Pacific Ocean



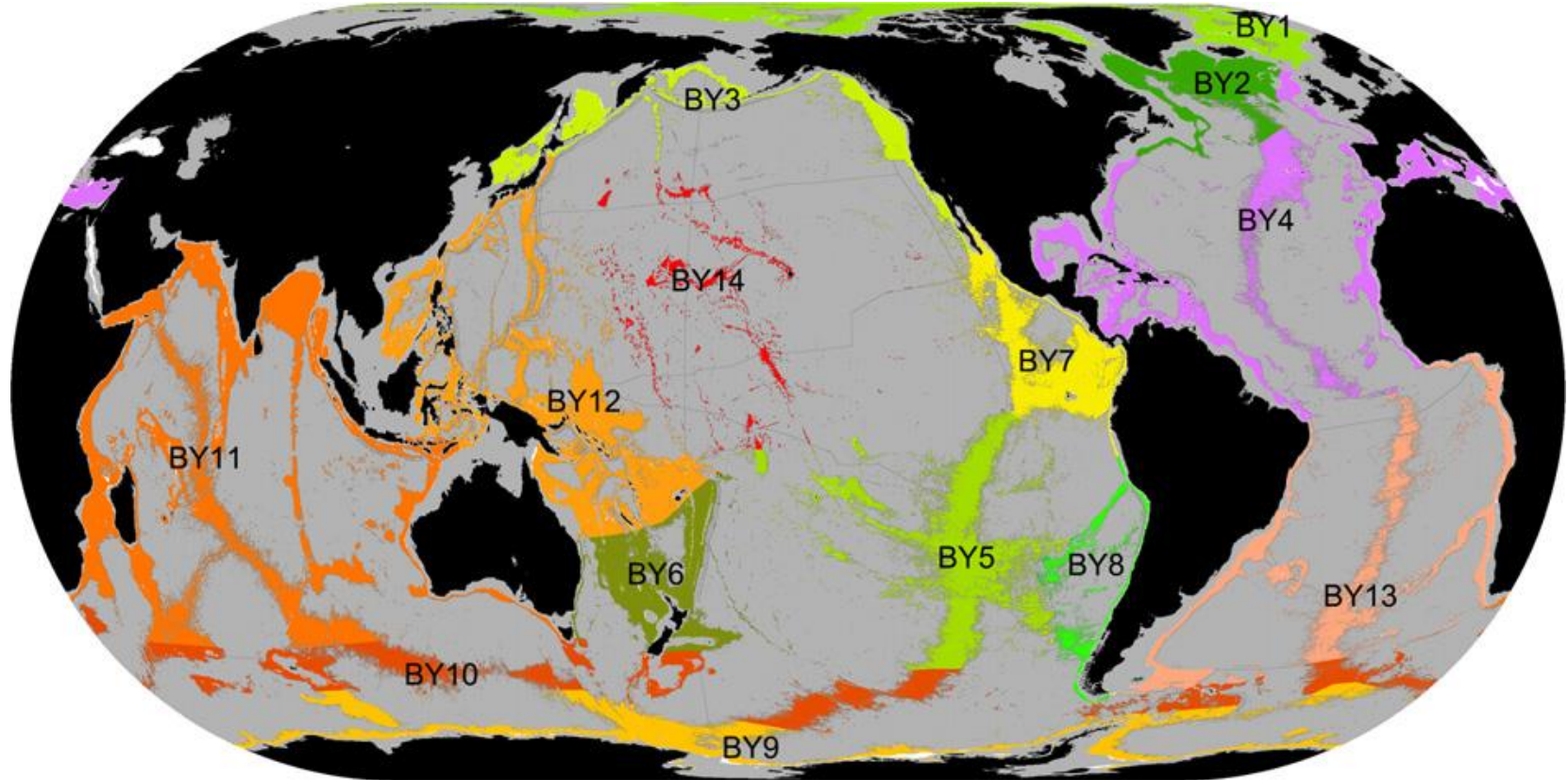
## Provinces:

NP – North Pacific  
 EP – East Pacific  
 WP – West Pacific  
 CP – Central Pacific

In red – after  
 Vinogradova (1959; 1997)

Mironov (1987; 2013)  
 Mironov et al. (2015)

# A proposed biogeography of the deep ocean floor (Watling et al., 2013)



BY1: Arctic

BY2: Northern Atlantic Boreal

BY3: Northern Pacific Boreal

BY4: North Atlantic

BY5: Southeast Pacific Ridges

BY6: New Zealand-Kermadec

BY7: Cocos Plate

BY8: Nazca Plate

BY9: Antarctic

BY10: Subantarctic

BY11: Indian

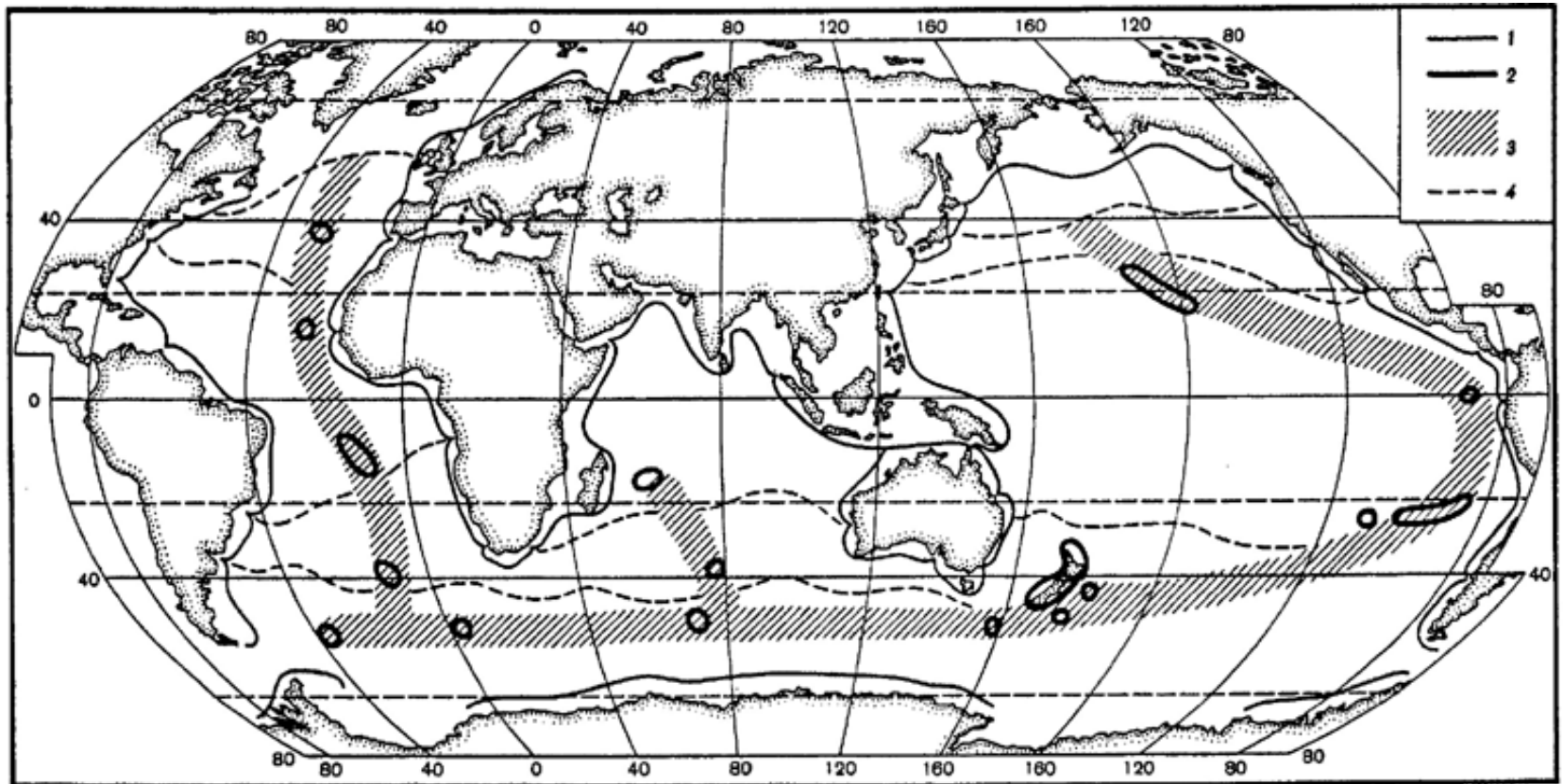
BY12: West Pacific

BY13: South Atlantic

BY14: North Pacific

## Proposed Lower Bathyal Provinces

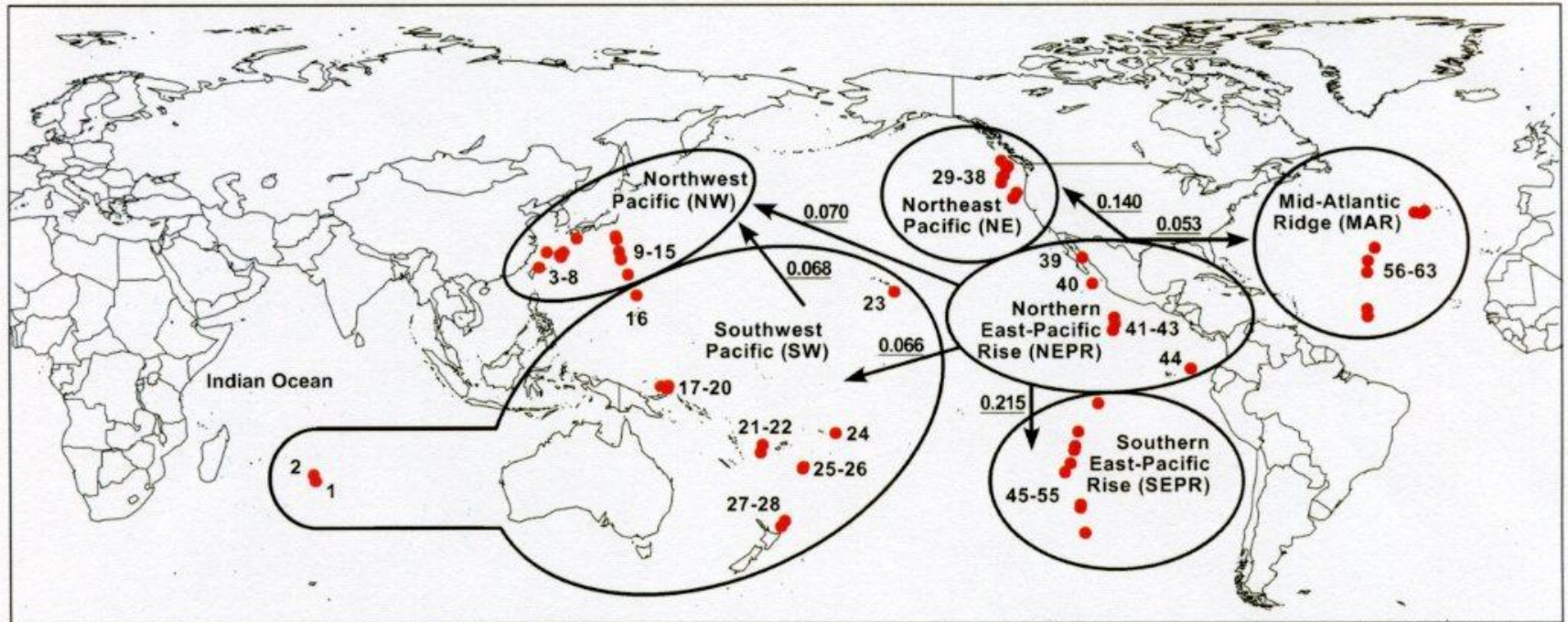
# Large-scale faunistic regions in the bathyal of the world ocean



1 – faunistic boundaries between sub-continental and oceanic regions; 2 – oceanic “exotic” regions; 3 - zone of occurrence of exotic regions; 4 – winter surface isotherms 10° and 20°C.

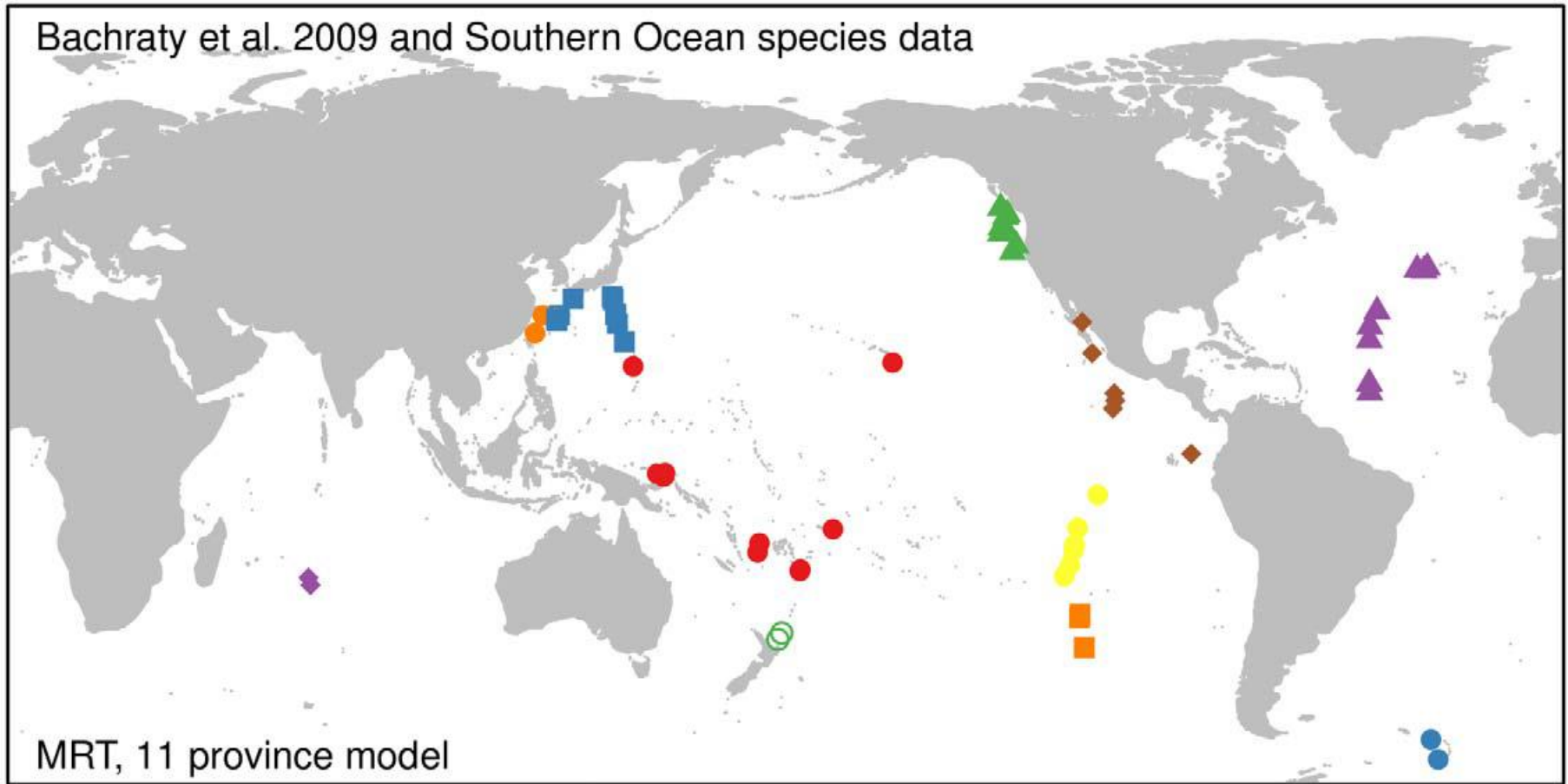


# Biogeographic relationships among deep-sea hydrothermal vent faunas at global scale (Bachraty et al., 2009)



**Fig. 1.** Geographic distribution of the 63 hydrothermal fields studied in a model with 6 biogeographic provinces. The complete name of each field, their location on the ridges, back-arc basins, submarine volcanoes and the abbreviations used during the analyses are shown in the Supplementary Tables 1 and 2. The envelopes represent the 6-province biogeographic model. The arrows indicate the significant coefficients of dispersal direction (values of  $DD_2$  on the arrows, underscored).

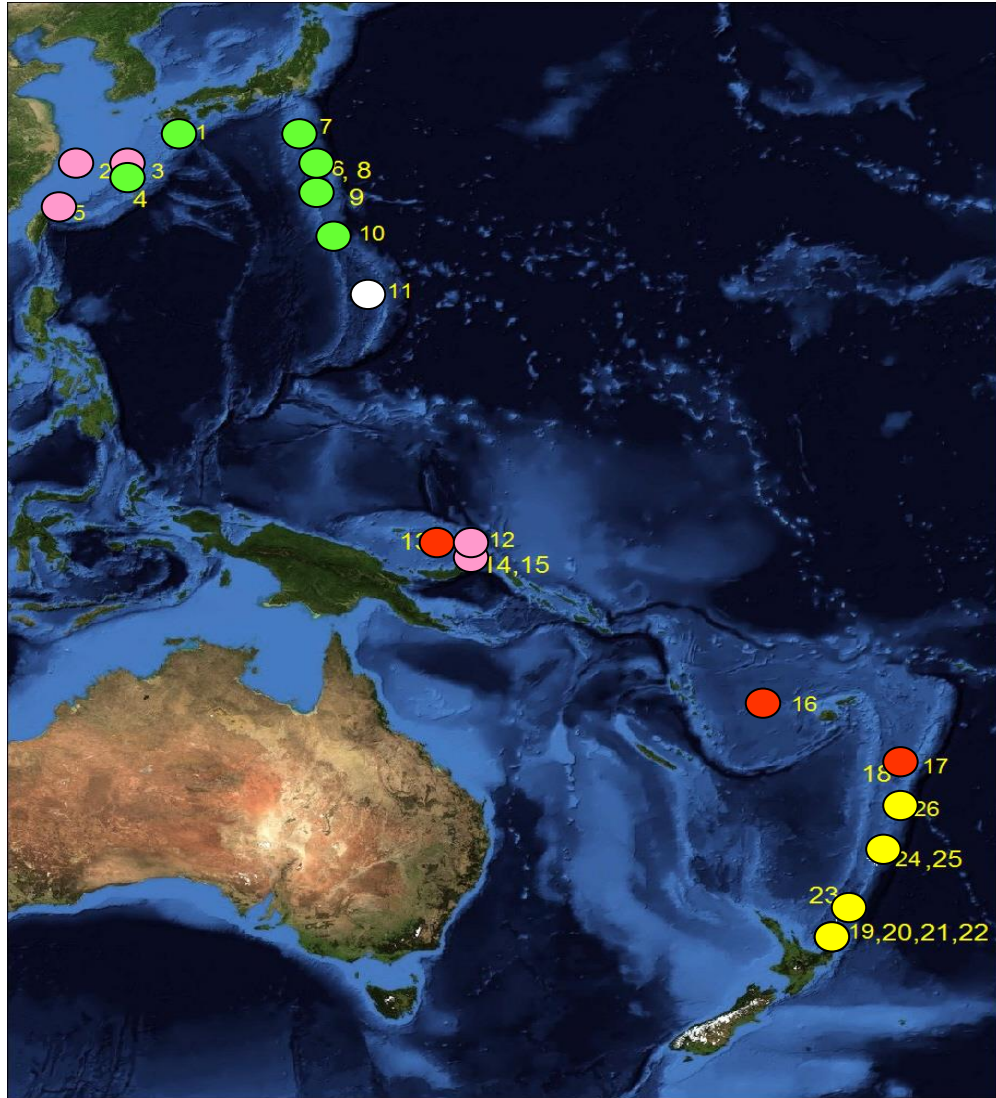
# Global Vent Biogeography [after Rogers et al. (2012) in PLoS]



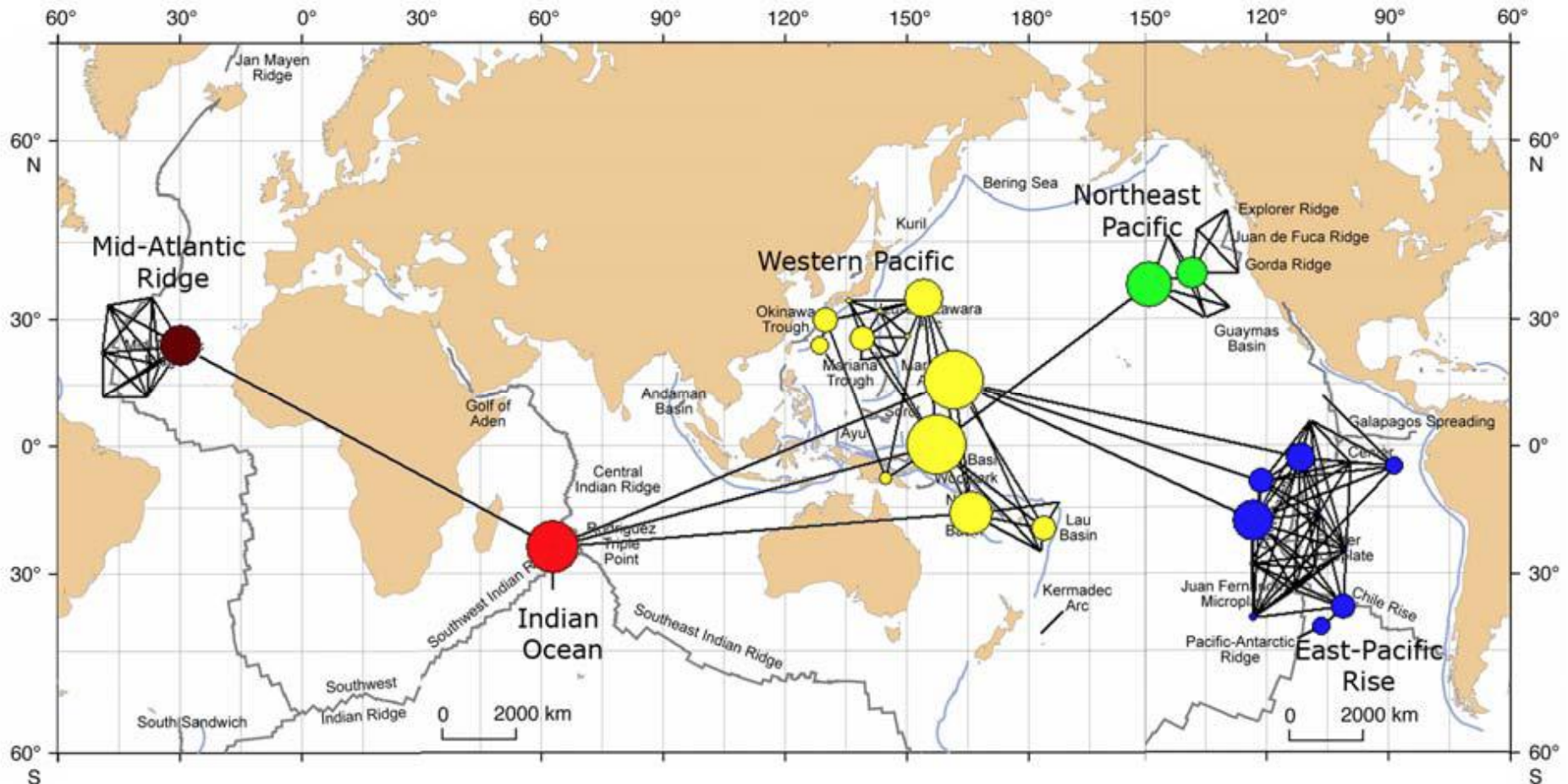
Results of geographically constrained clustering using multivariate regression trees. An 11-province model based on the combined dataset was the most frequent optimal model when using multiple cross-validations. Vent provinces are resolved comprising the Mid-Atlantic Ridge, the ESR, the northern, central, and southern East Pacific Rise, a further province located south of the Easter Microplate, four provinces in the western Pacific, and a further Indian Ocean province.

doi:10.1371/journal.pbio.1001234.g006

# Western Pacific vent biogeography

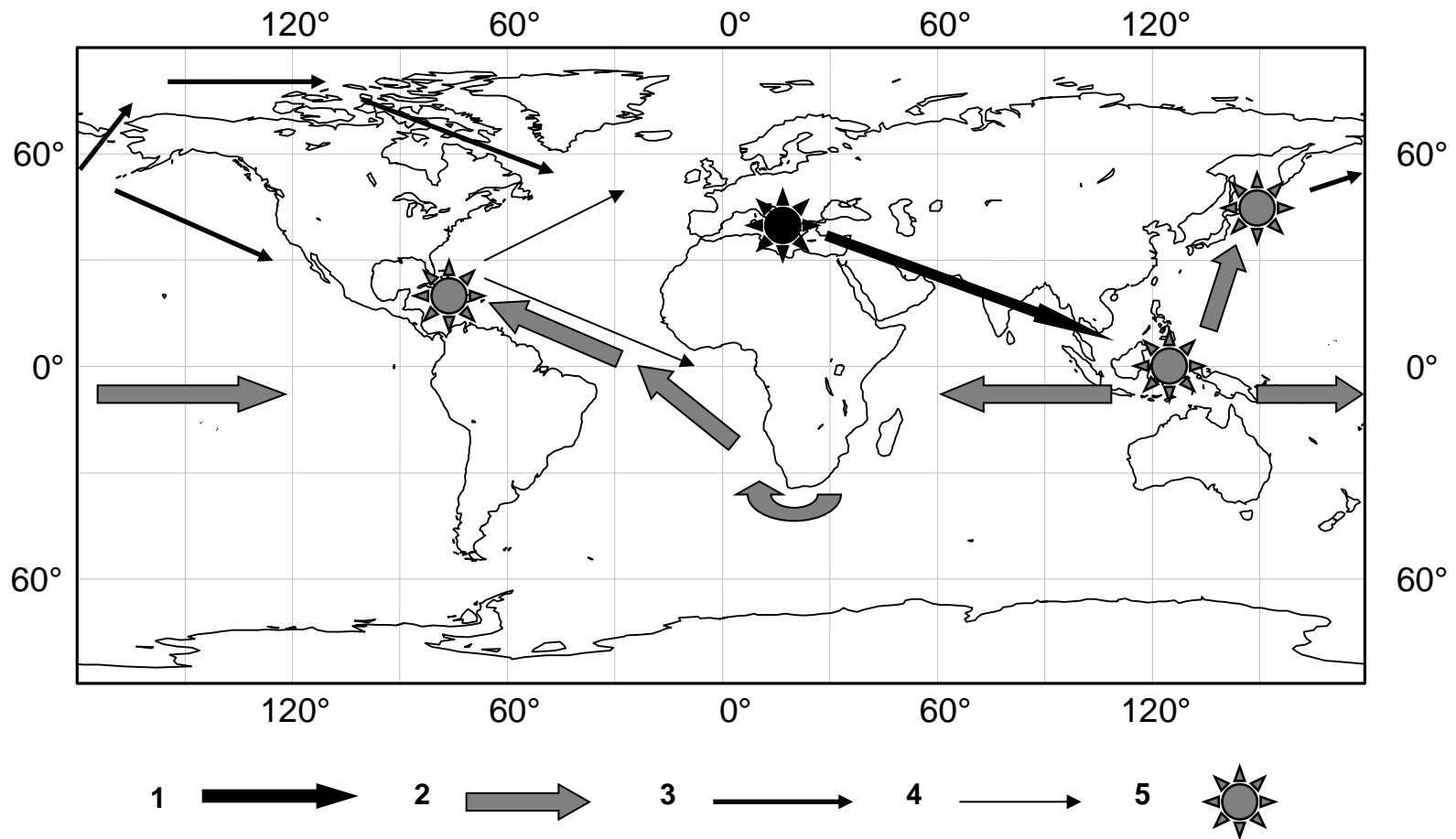


# Global Vent Biogeography [after Moalic et al. (2012) in PLoS]



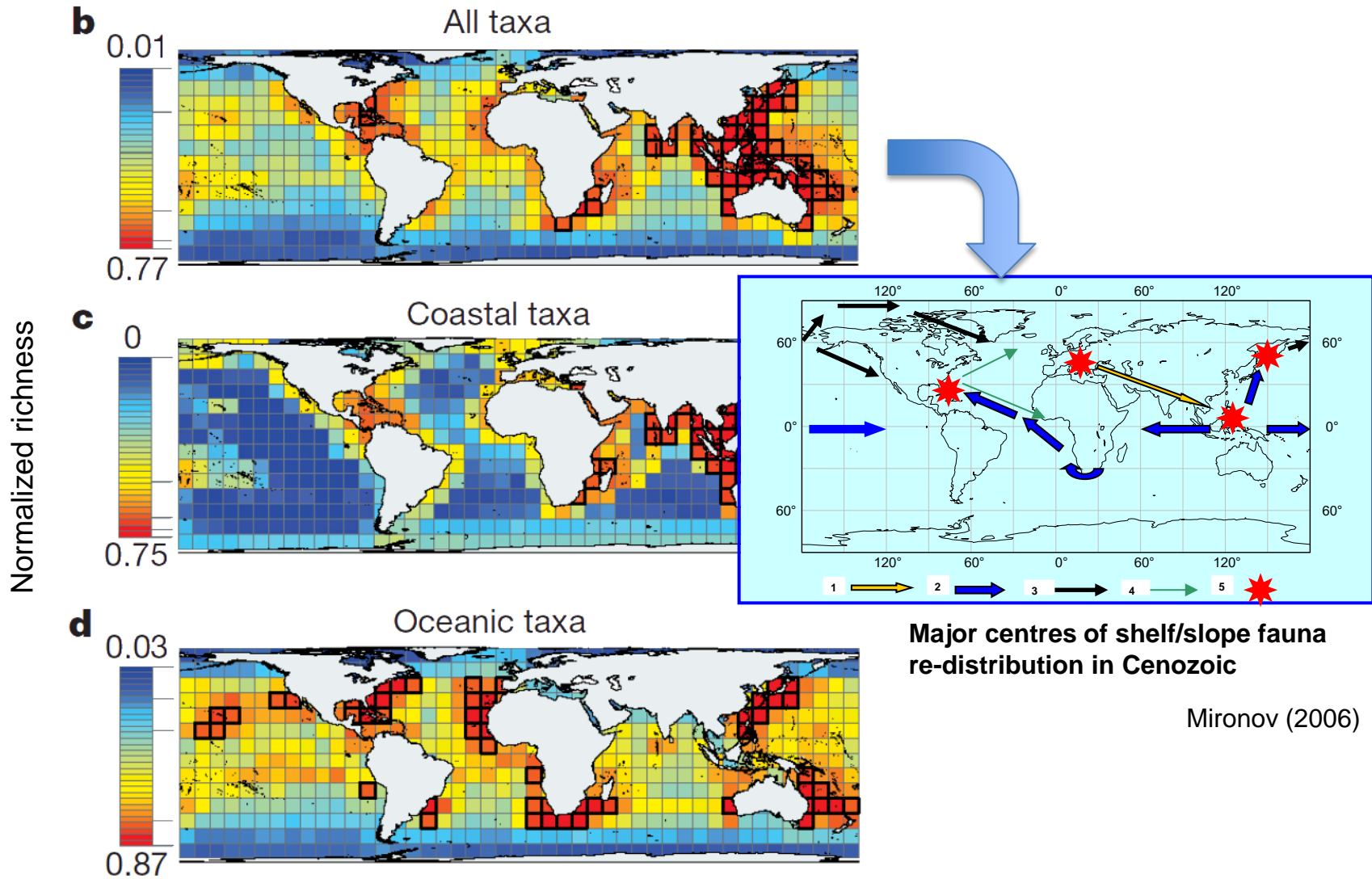
Global network of hydrothermal vent fauna diversity, built on the basis of Jaccard's distance among fields and represented here at the effective percolation threshold ( $D_{pe} = 0.84$ . See SOM). Circle size represents the betweenness centrality values of the corresponding field. Five provinces are highlighted by this network analysis: Mid-Atlantic Ridge (brown), Indian Ocean (red), Western-Pacific (yellow), Northeast-Pacific (green) and East-Pacific Rise (blue).

# Main centres of re-distribution and distributional pathways of shelf/slope fauna in Cenozoic



1 - Tethys (Paleogen/Neogen boundary), 2- Indo-Malayan (Neogen), 3 - North Pacific, 4 - West Atlantic, 5 - Centres of re-distribution.

# Global ocean species richness distribution



# Claim-Scale Spatial management

## Impact and Preservation Reference Zones

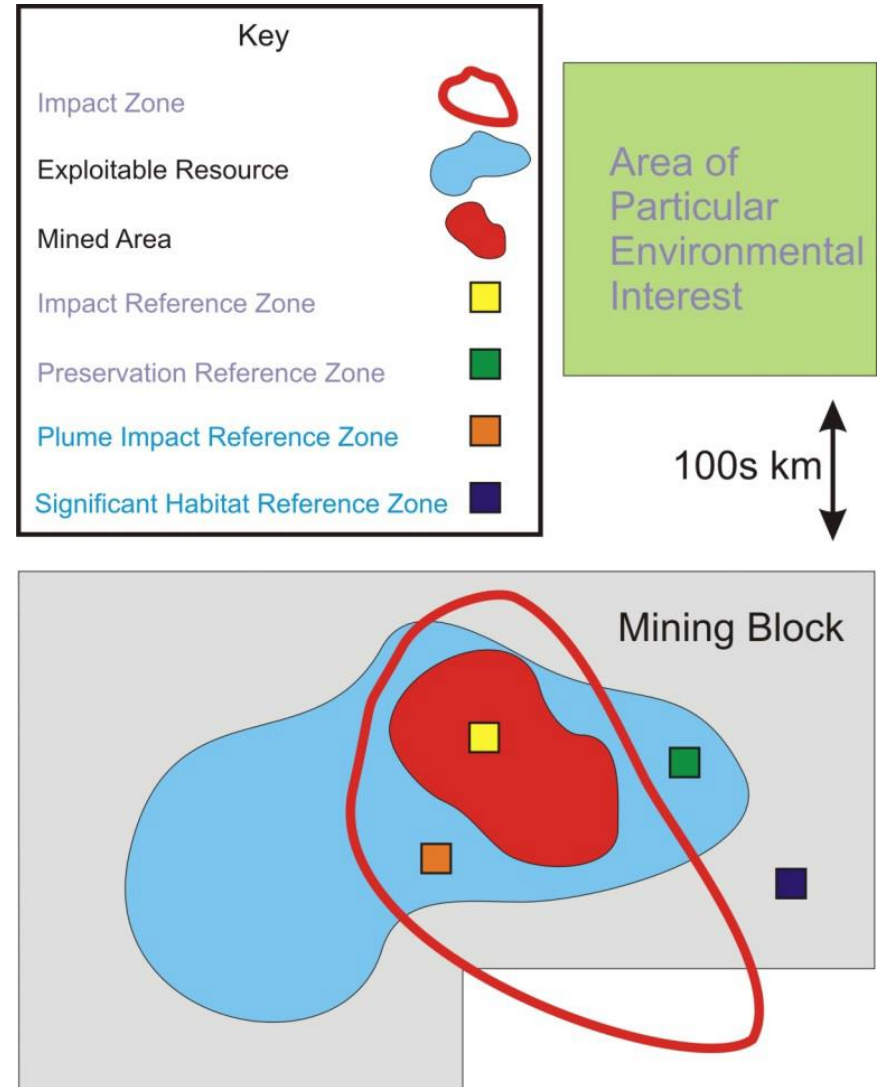
ISBA/19/C/17, Reg.31

ISBA/16/A12/Rev.1

ISBAQ/18/A11, Reg. 33

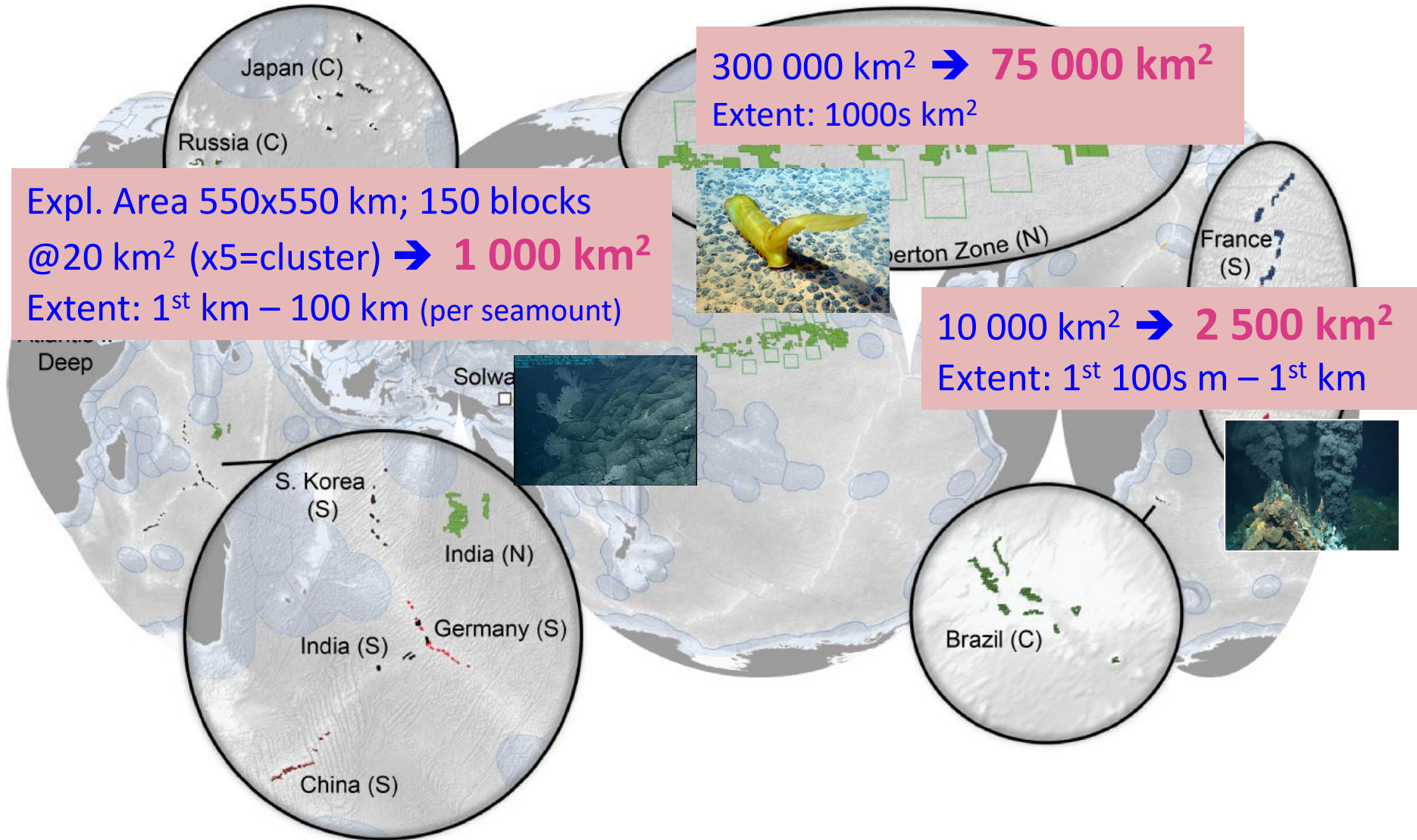
“**Impact reference zones**” are areas to be used for assessing the effect of each contractor’s activities in the Area on the marine environment and which are representative of the environmental characteristics of the Area.

“**Preservation reference zones**” means areas in which no mining shall occur to ensure representative and stable biota of the seabed in order to assess any changes in the flora and fauna of the marine environment.



(D. Jones, NOCS)

# Locations of global exploration contracts for manganese nodules (N), Co-rich Fe-Mn crusts (C) and seafloor massive sulfides (S for licenses within “the Area”, orange for licenses within EEZs)



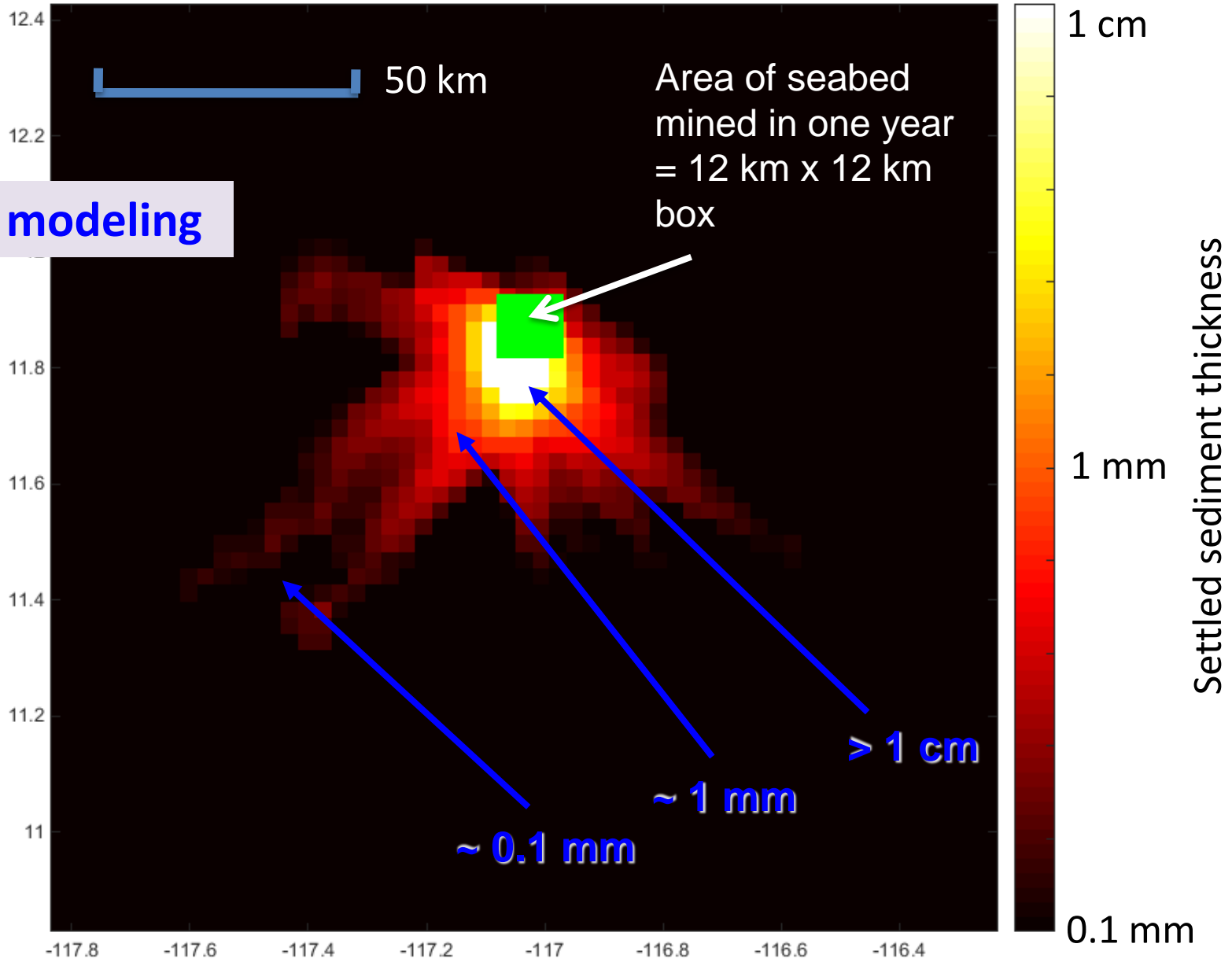


323 days

$$k_x = 0.1 \text{ m}^2 \text{ s}^{-1}$$

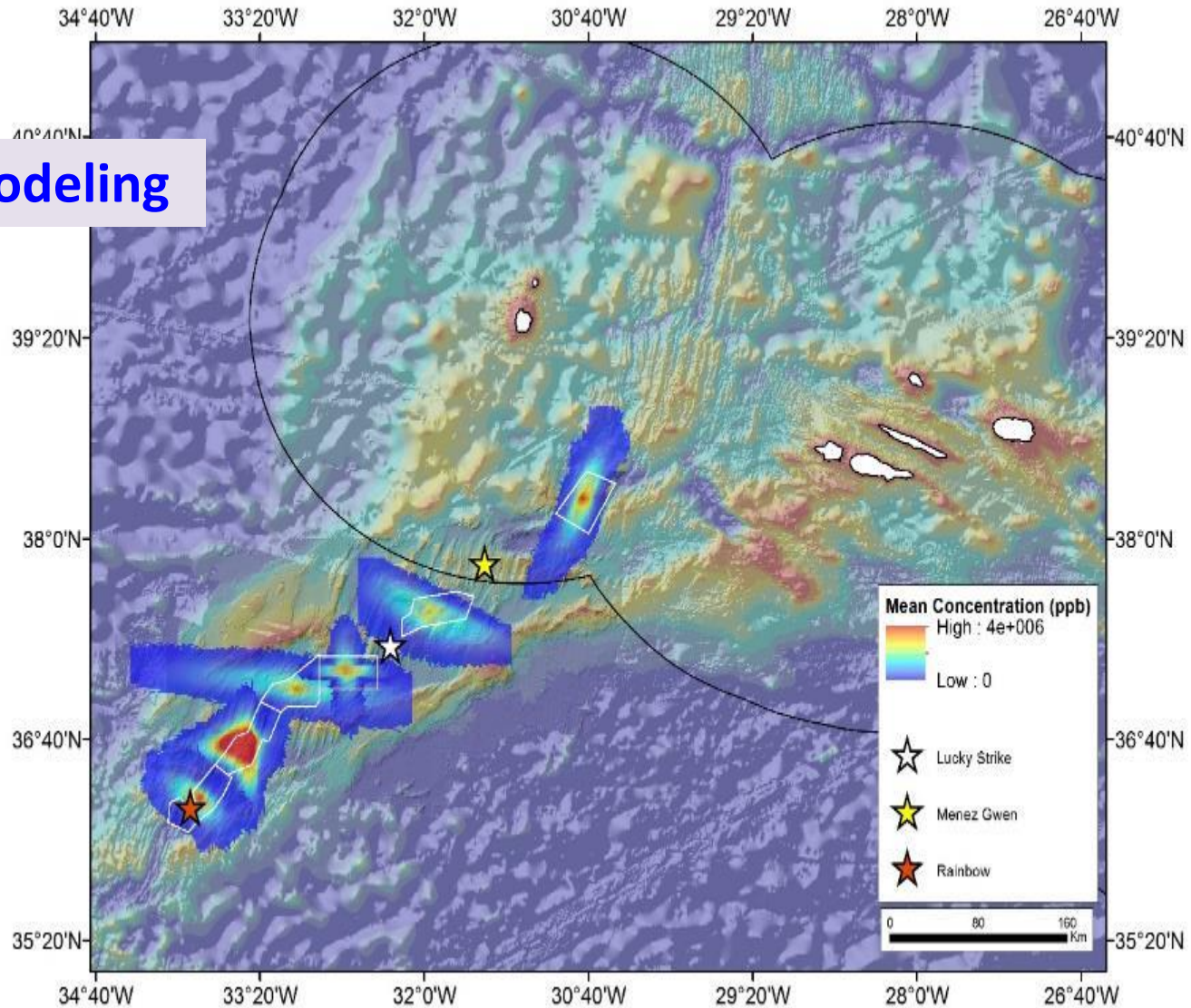
$$k_z = 10^{-4} \text{ m}^2 \text{ s}^{-1}$$

Plume modeling



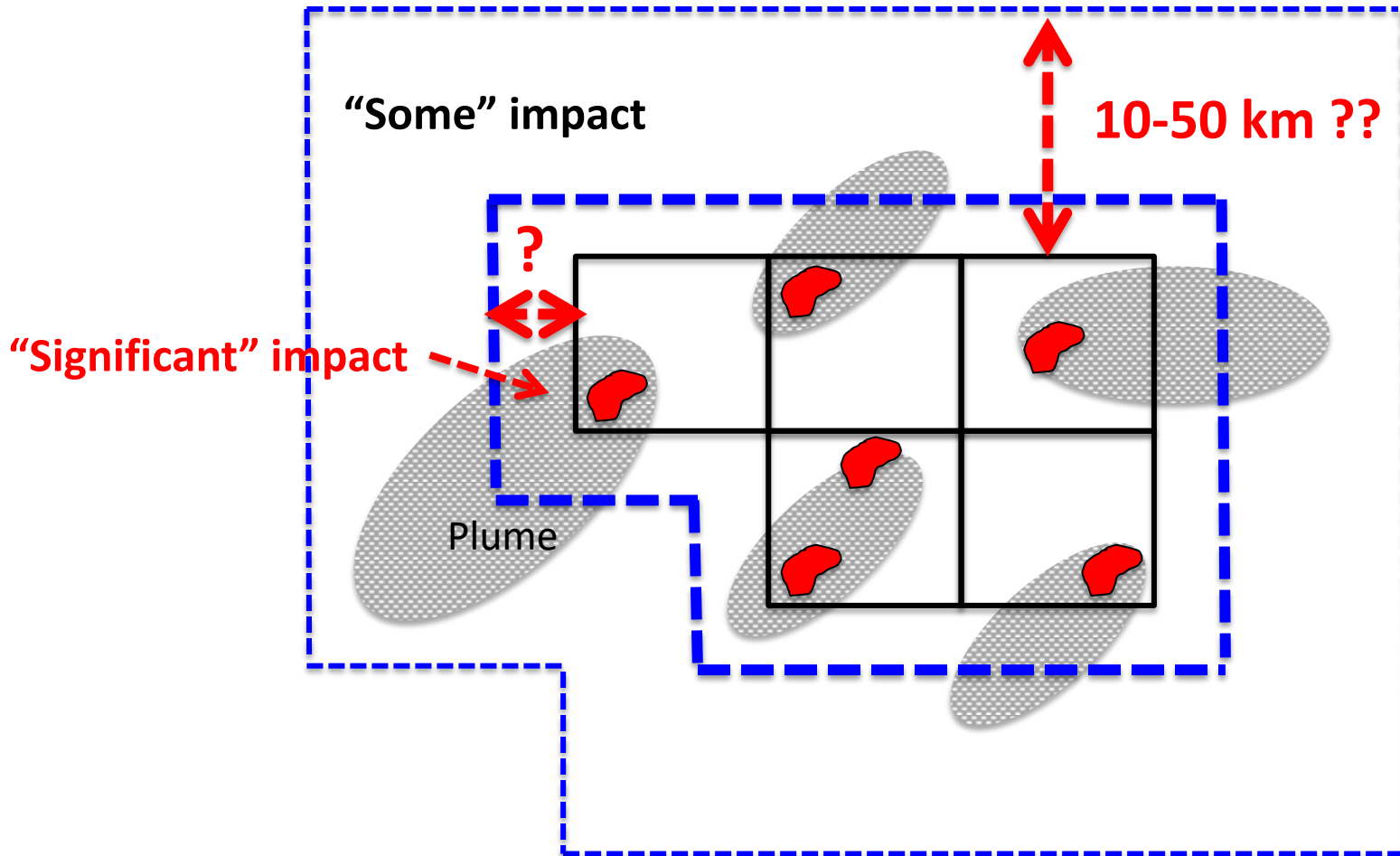
# Azores case study

Plume modeling



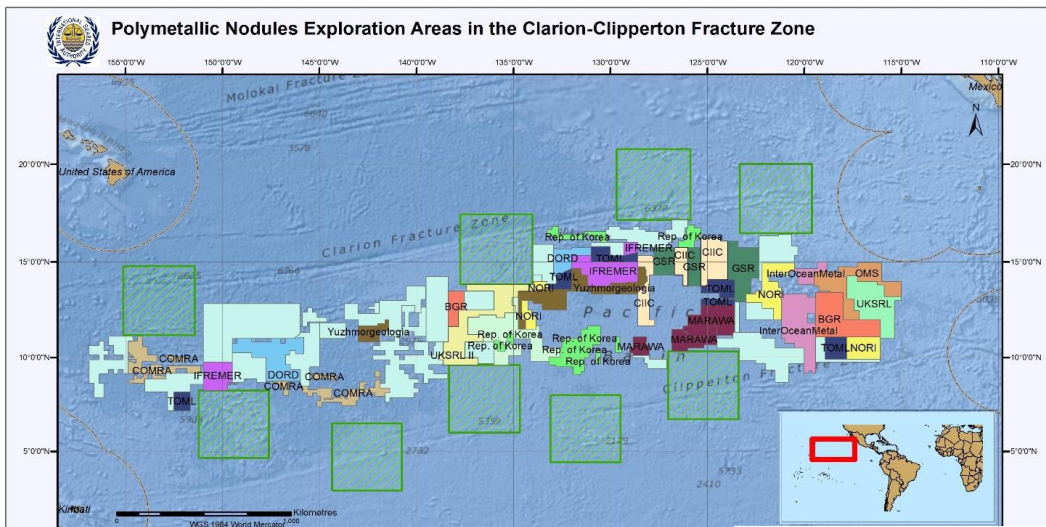
(Morato et al., unpublished)

# Spatial limits for plume impact monitoring



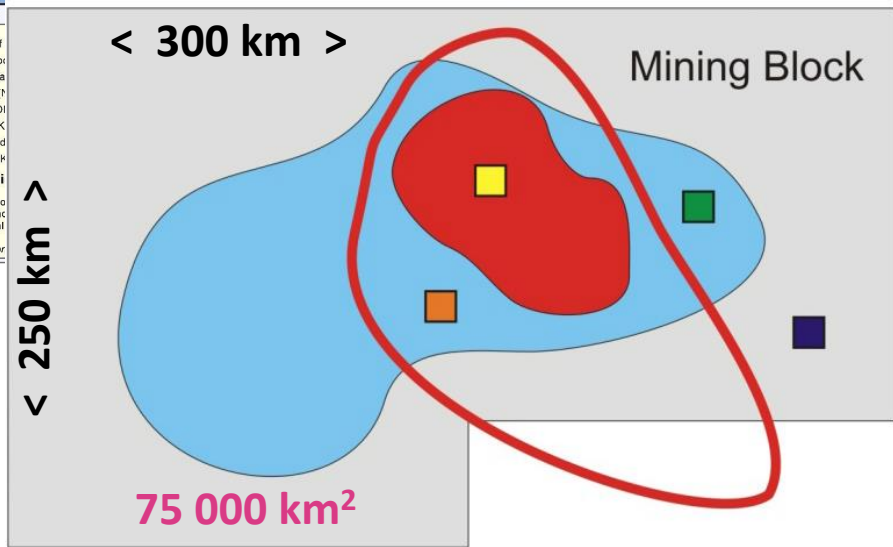
Plume extent ?1-10-50 km?

# Manganese nodules



- |   |  |
|---|--|
| Ocean Mineral Singapore Pte Ltd (OMS)   | Government of the Republic of                      |
| Cook Islands Investment Corporation (CIIC)  | Institut français de recherche p                   |
| Marawa Research and Exploration Ltd (Kiribati)                                    | Interoceanmetal (IOM; Bulgaria)                    |
| Bundesanstalt für Geowissenschaften und Rohstoffe (BGR; Germany)                  | Nauru Ocean Resources Inc. (NOR)                   |
| China Ocean Mineral Resources Research and Development Association (COMRA, China) | Tonga Offshore Mining Ltd (TOML)                   |
| Deep Ocean Resources Development Company (DORD; Japan)                            | UK Seabed Resources Ltd (UK)                       |
| G-TEC Minerals Resources NV (GSR; Belgium)  | Yuzhmorgeologia (Russian Fed)                      |
| Reserved area*  | Area of particular environmental interest (APEI)** |
| Exclusive Economi   | UK Seabed Resources Ltd. (UK)                      |

\* In the case of polymetallic nodules, the so-called parallel system provides that each application for exploration by a developed State must co One part is allocated to the applicant and the other is to become the reserved area, which is set aside for the conduct of activities by the Auth; \*\* In July 2012, the Authority adopted an environmental management plan for the Clarion-Clipperton Zone to be implemented on a provisional The plan includes the designation of a network of areas of particular environmental interest (SBA/18/C/22).



# Manganese nodules

## Exploration Area

- Apply for up to 300 000 km<sup>2</sup>
- 50% becomes “License Area”, other part is “Reserved Area”

Relinquishment in 8 years

Reduce size

3 yrs → 20%

5 yrs → 10%

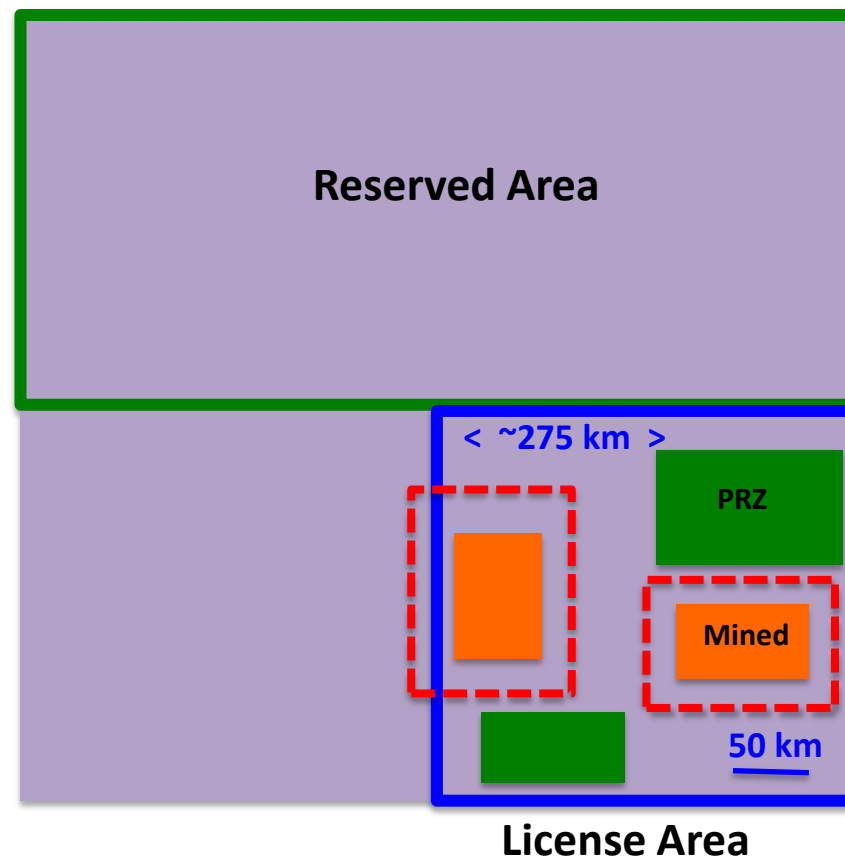
8 yrs → 20%

**Result: area of 75000 km<sup>2</sup>**

(not necessarily contiguous)

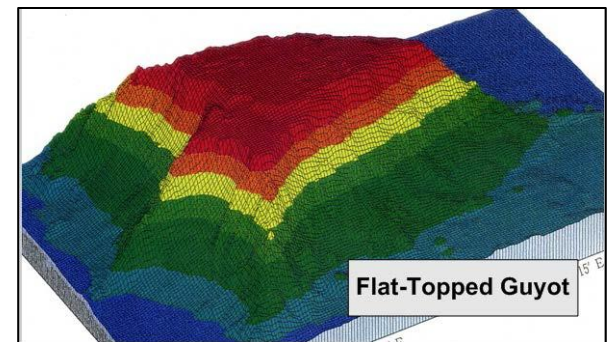
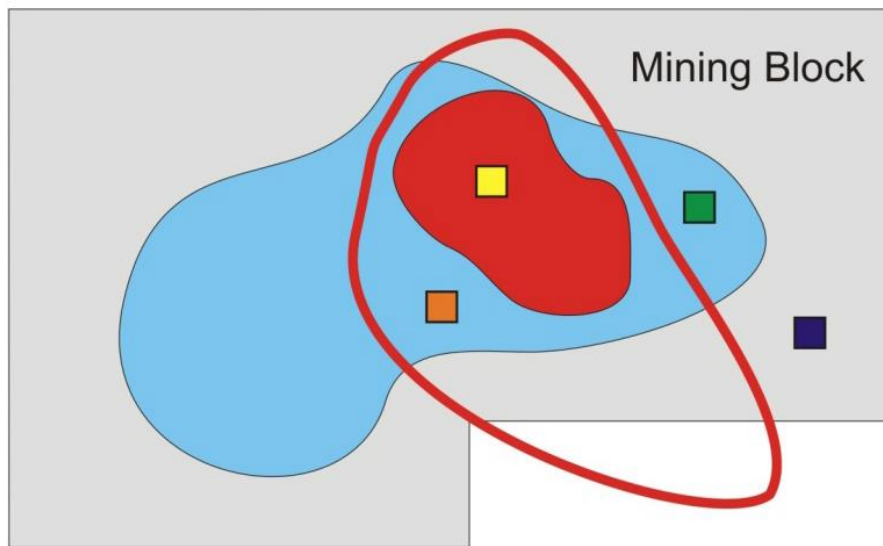
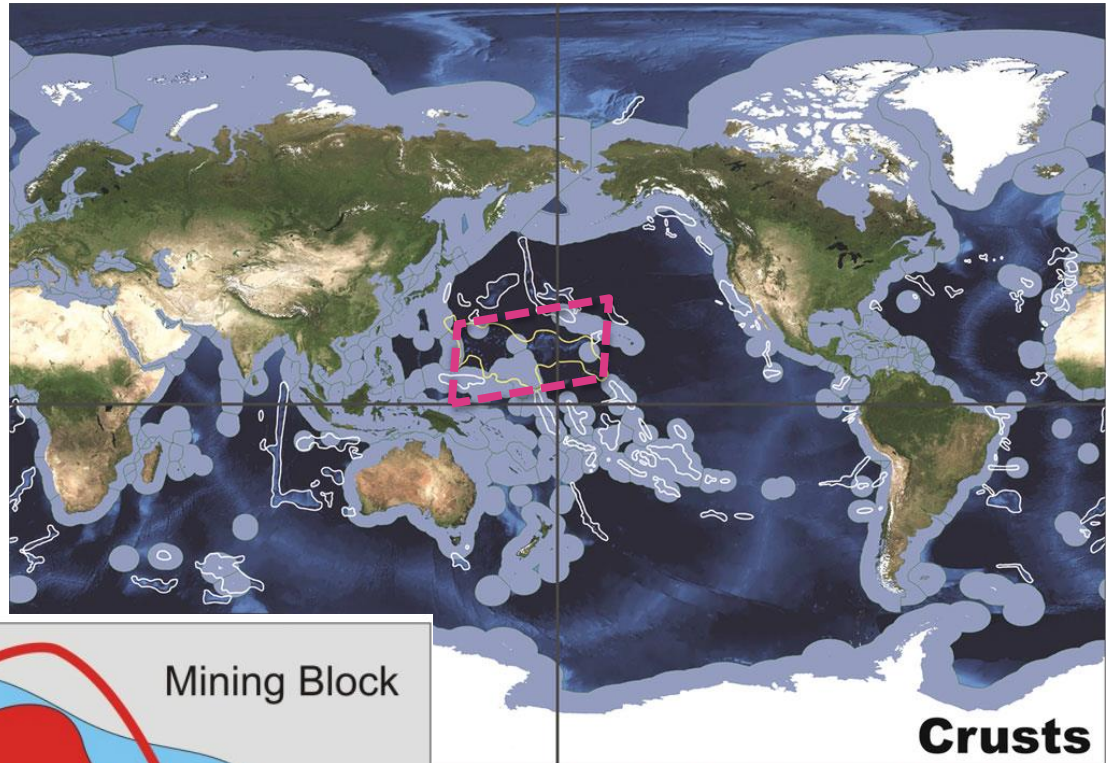
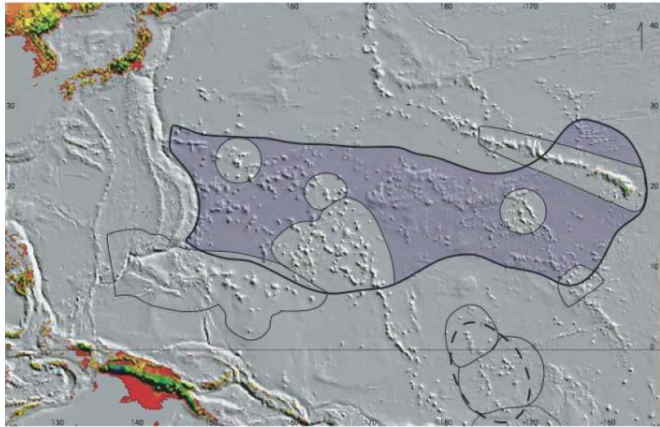
Patches of nodules on 0.1-10 km scale

An area of about **8500 km<sup>2</sup>** is estimated to be sufficient to support about 20 years of polymetallic nodule mining (Madureira et al., 2016; Jones et al., 2017).

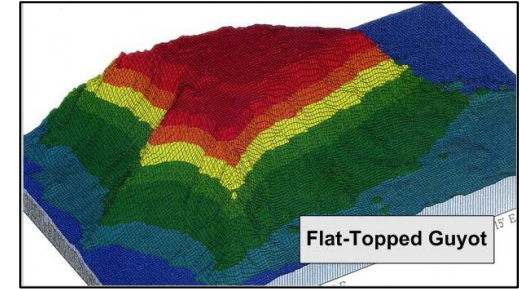


- PRZ: Size = ?mined + impacted by plume
- PRZ: To include all types of habitats/ecosystems (incl. impacted by plume)

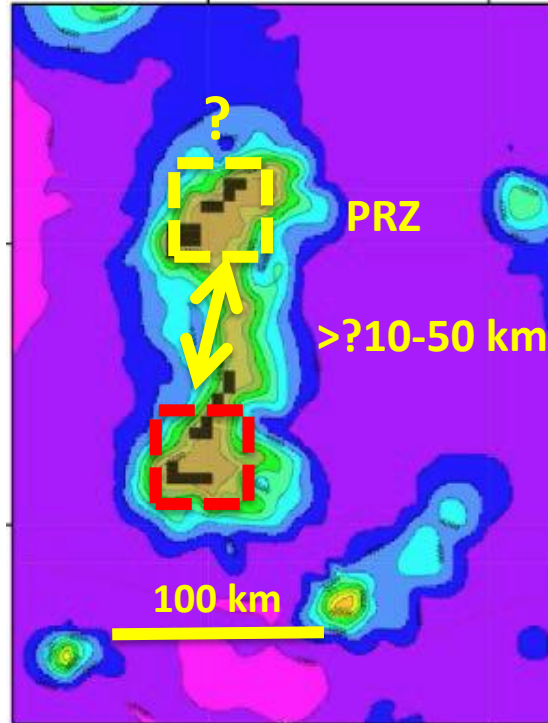
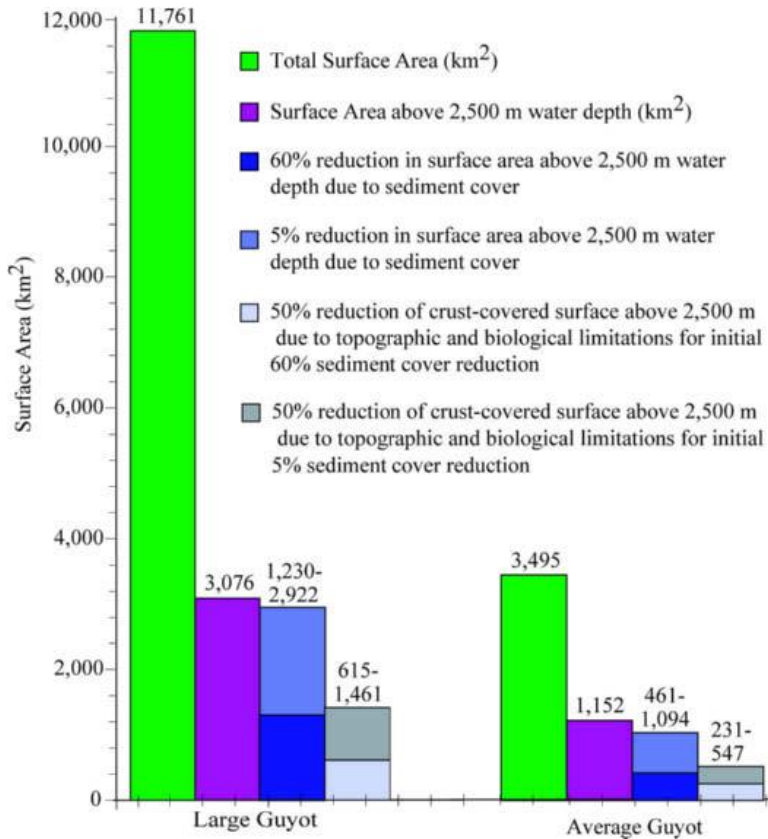
# Cobalt crusts



# Cobalt crusts



## Model mine site at seamount

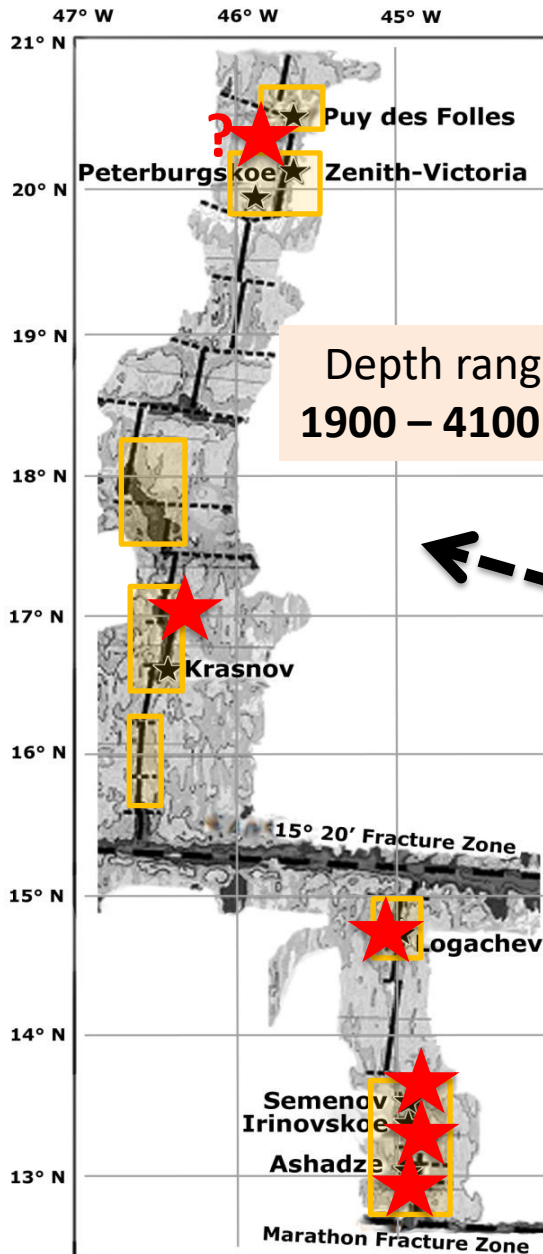


Large composite seamount  
 Crust 2-3 cm thick,  
 Area above 2.5 km ~ 2900 km<sup>2</sup>  
 Area mined per year ~25 km<sup>2</sup>  
 - in 20 yrs. ~500 km<sup>2</sup>

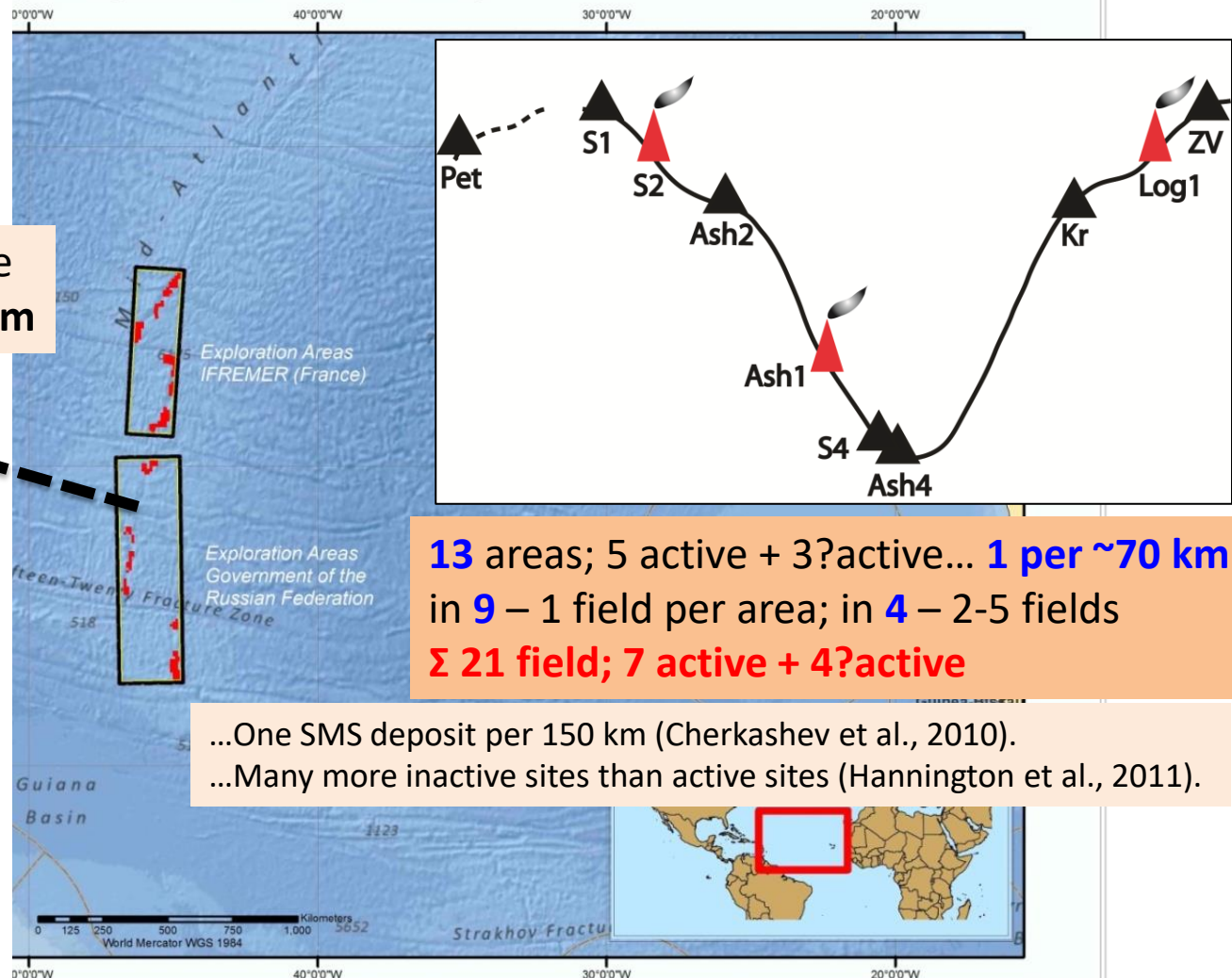
→ can accommodate a single 20-years mining site

Expl. Area 550x550 km; 150 blocks  
 @20 km<sup>2</sup> (x5=cluster) → 1 000 km<sup>2</sup>

# Russian SMS Exploration area



es Exploration Areas on the Mid-Atlantic Ridge  
pproved by the International Seabed Authority

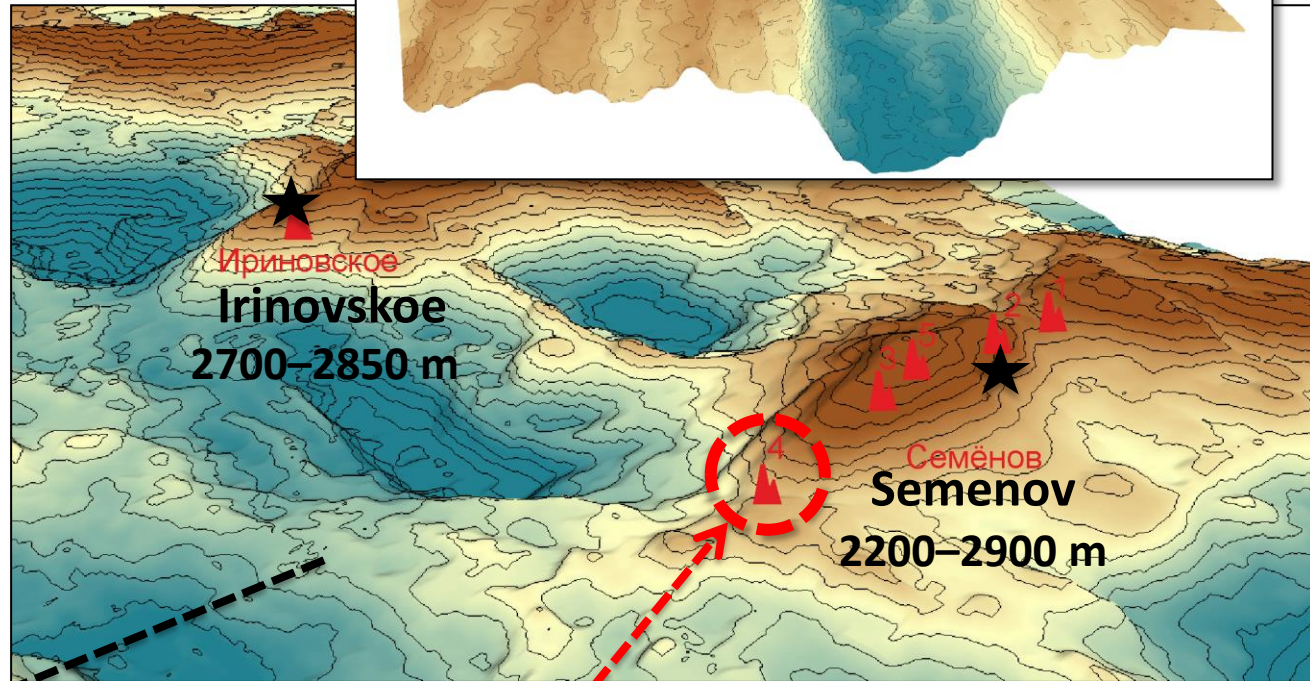
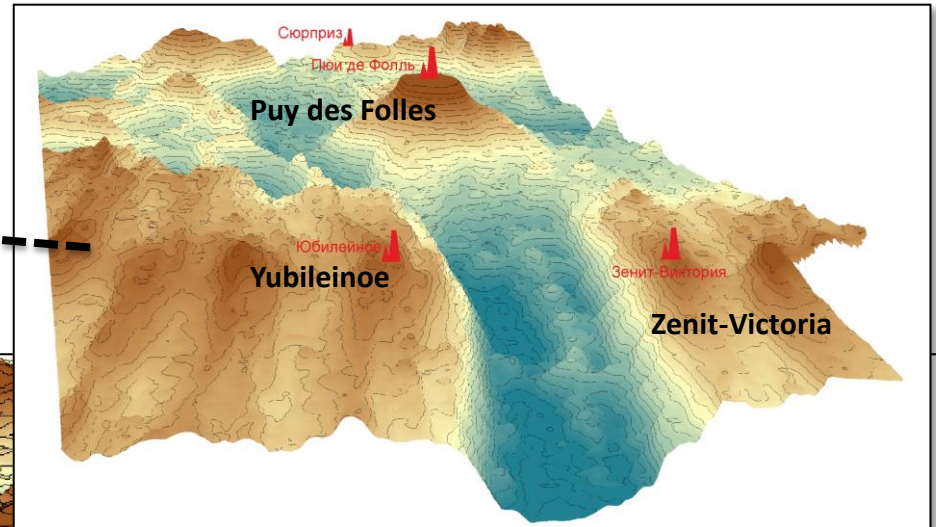
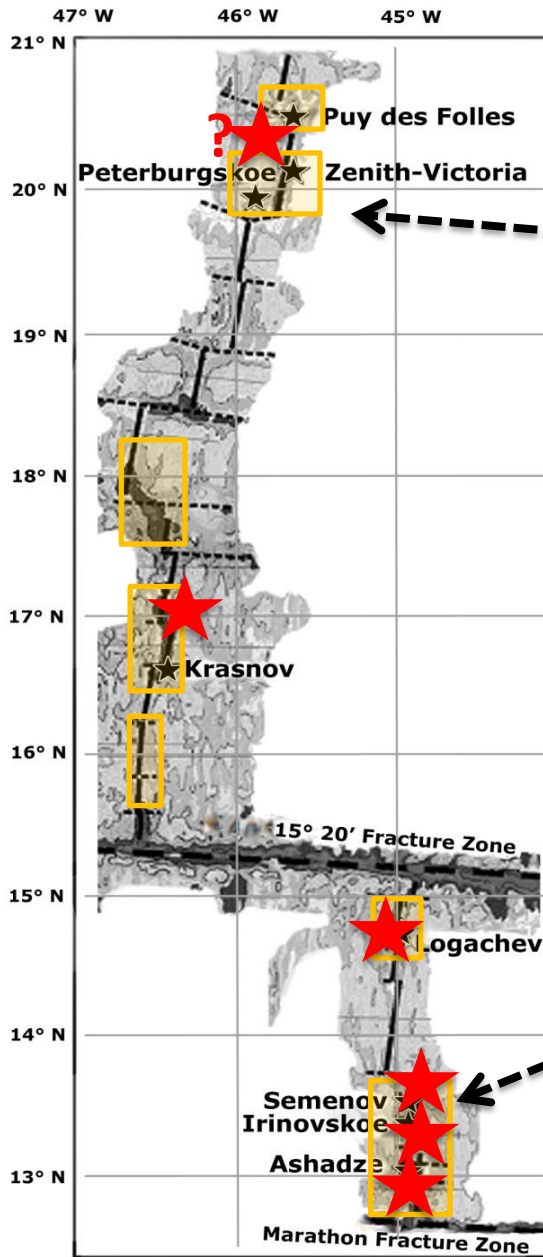


10 km)  Confinement area containing 100 polymetallic sulphides exploration blocks\*

\* According to the Regulations on prospecting and exploration for polymetallic sulphides, a maximum of 100 exploration blocks (not exceeding 100 sq. km) must be arranged in clusters with at least five contiguous blocks. Clusters need not to be contiguous, but shall be confined within a rectangular area, where the longest side does not exceed 1,000 km.



# Russian SMS Exploration area

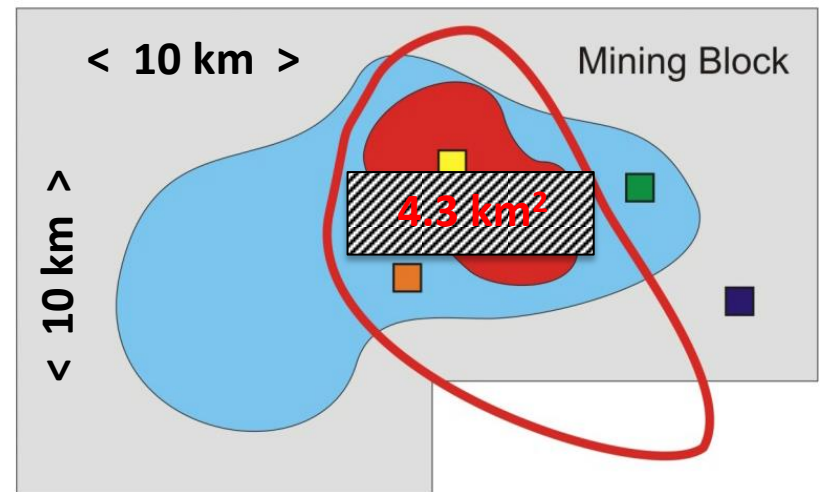
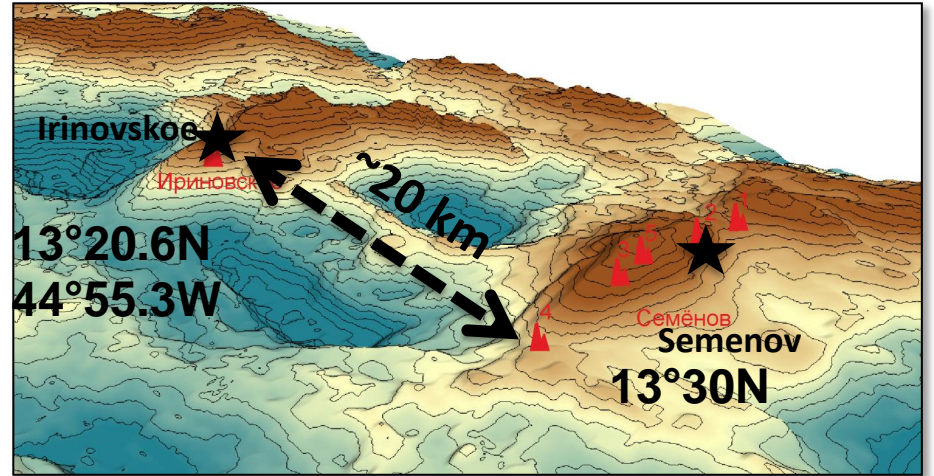
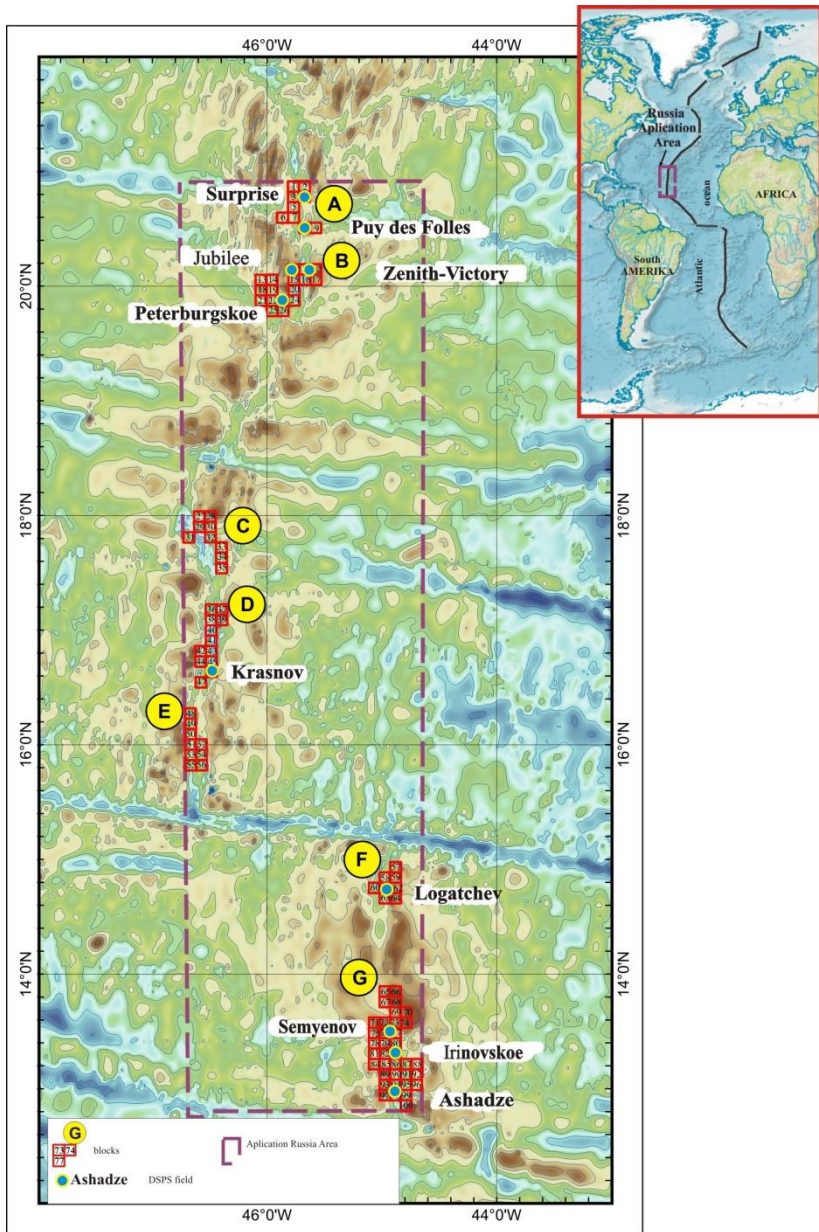


Maximum field size **1600 x 2700 m**  
 (= ~4.3 km<sup>2</sup>) at Semenov – 4.

Modern activity at Semenov – 2 ★

Minimum field size: 50 x 150 m  
 (Ashadze-1).

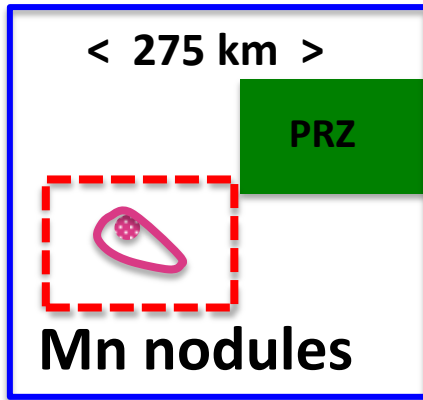
[After Cherkashov et al.; Beltenev et al.]



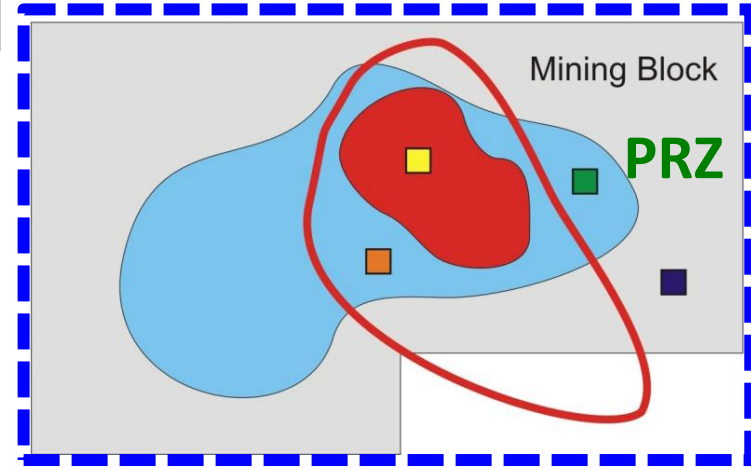
$2\,500\text{ km}^2 = 25 \times 10 \times 10\text{ km}^2$

75 000 km<sup>2</sup>

# PRZ design/location



Plume ?1-10-50 km



???



SMS: 25 @ 10x10 km<sup>2</sup> → 2 500 km<sup>2</sup>



Cobalt crusts:

@20 km<sup>2</sup> (x5=cluster) → 1 000 km<sup>2</sup>

# Preservation Reference Zone

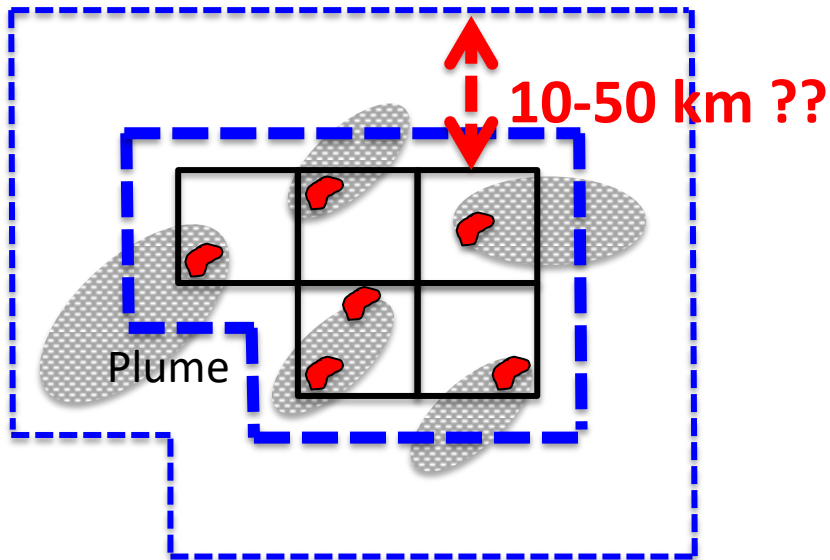
*How many? How big?*

**To include all types of habitats/ecosystems (incl. impacted by plume) found in mined area**

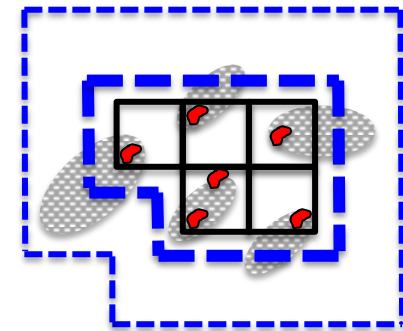
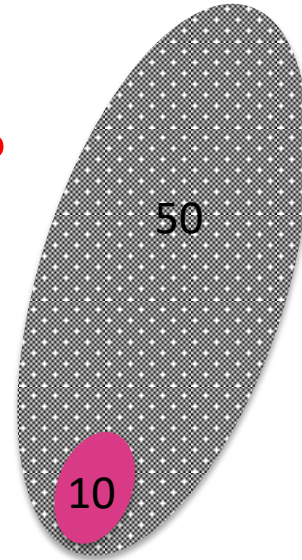
- **Mn nodules** – at least one comparable in size to mined block/unit + area impacted by plume
- **Co Crusts** – at least one  $\geq$  one block 4x5 km
- **SMS** – at least one  $\geq$  one block 10x10 km. *Important!* Comparable in size deposit/inactive field, depth, topography/location on the rift valley profile

# Spatial limits for plume impact monitoring

IRZ



SMS: 25 @ 10x10 km<sup>2</sup>



Cobalt crusts:  
~4x5 km (x5=cluster)

Plume extent ?1-10-50 km?