

**TEMPLATE FOR SUBMISSION OF TEXTUAL PROPOSALS DURING THE 27TH SESSION:
COUNCIL - PART I**

Informal Working Group - Inspection, Compliance, and Enforcement

Please fill out one form for each textual proposal which your delegation(s) wish(es) to amend, add or delete.

1. Name(s) of Delegation(s) making the proposal:

Pew Charitable Trusts

2. Please indicate the relevant provision to which the textual proposal refers.

DR 101

3. Kindly provide the proposed amendments to the regulation or standard or guideline in the text box below, using the “track changes” function in Microsoft Word. Please only reproduce the parts of the text that are being amended or deleted.

Note: Council amendments are in **Red and Pew comments are in **Blue**.

Regulation 101 Complaints **about Inspectors**

1. A person aggrieved by an action of an Inspector under this Part may complain in writing to [the Secretary-General], who shall consider the complaint as soon as practicable.
2. [The Secretary-General] may take such reasonable action as is necessary in response to the complaint **and inform the Council accordingly.**

4. Please indicate the rationale for the proposal. [150 word limit]

Regarding paragraph 1 and 2, some delegations have suggested that this function should be performed by a Compliance committee, and not the Secretary-General. An ISA Ombudsperson would be another option.