

**TEMPLATE FOR SUBMISSION OF TEXTUAL PROPOSALS DURING THE 28TH SESSION: COUNCIL -
PART III**

Please fill out one form for each textual proposal which your delegation(s) wish(es) to amend, add or delete and send to council@isa.org.jm.

1. Name of Working Group:

President's Text

2. Name(s) of Delegation(s) making the proposal:

The Pew Charitable Trusts

3. Please indicate the relevant provision to which the textual proposal refers.

Annex III

*Blue font are proposed amendments by the Council President.

*Pew's amendments are indicated as in-line edits in red font.

4. Kindly provide the proposed amendments to the regulation or standard or guideline in the text box below, using the "track changes" function in Microsoft Word. Please only reproduce the parts of the text that are being amended or deleted.

(j) Details of any insolvency proceedings, current disqualification from acting as a company director or trustee of any fund or organisation, unspent convictions for any financial crime or offence involving dishonesty, in any jurisdiction, involving key personnel from the Contractor's management, senior staff, ownership, parent company, subsidiaries or sub-contractors.

5. Please indicate the rationale for the proposal. [150-word limit]

We suggest that the ISA's due diligence should include examination of the credentials of key personnel, and disclosure of any offences or bankruptcies that may affect their suitability to act in such a post. This should be matched by evaluation criteria in the regulations (and potentially supported by Standards) that shows what credentials are considered acceptable, and which are sufficient to cause concern about the eligibility of the applicant. Applicants should also be given an opportunity to explain how they see any risk around such matters, and how it is being managed.